

## CZM Projects of Special Merit Competition - FY2017

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## ANNOUNCEMENT OF FEDERAL FUNDING OPPORTUNITY

## EXECUTIVE SUMMARY

Federal Agency Name(s): National Ocean Service (NOS), National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

Funding Opportunity Title: CZM Projects of Special Merit Competition - FY2017

Announcement Type: Initial

Funding Opportunity Number: NOAA-NOS-OCM-2017-2005010

Catalog of Federal Domestic Assistance (CFDA) Number: 11.419, Coastal Zone Management Administration Awards

Dates: Full proposals must be received and validated by Grants.gov on or before 11:59 p.m. U.S. Eastern Time on November 18, 2016.

Funding Opportunity Description: The purpose of this document is to advise eligible applicants that NOAA is soliciting proposals for competitive funding under the Coastal Zone Management Act's (CZMA) Enhancement Program Projects of Special Merit, authorized under Section 309 of the CZMA (16 U.S.C. §1456b). The objective of Section 309 assistance is to encourage each State or Territory with a federally-approved coastal management program (CMP) to continually improve its program in specified areas of national importance. The intent of Projects of Special Merit (PSM) funding is to offer CMPs the opportunity to develop innovative projects that further their approved enhancement area strategies and focus on the following national enhancement area priorities: Hazards, and Ocean and Great Lakes Resources (with a focus on comprehensive planning). Any CMP that has been approved by NOAA pursuant to the Coastal Zone Management Act (16 U.S.C. §1455) and has an approved 2016-2020 Section 309 Assessment and Strategy that contains at least one strategy addressing one or more of the priority enhancement areas is eligible to apply for this competition. Coastal Zone Management Program Regulations at 15 C.F.R. Part 923 apply to this Announcement, and program policies and procedures are further implemented through the provisions in this announcement.

## FULL ANNOUNCEMENT TEXT

## I. Funding Opportunity Description

## A. Program Objective

The objective of Section 309 is to encourage States or Territories with federally-approved coastal management programs (CMPs) to continually improve their programs. The intent of Projects of Special Merit (PSM) funding is to offer CMPs the opportunity to develop innovative projects that further their approved enhancement area strategies and focus on national priorities. The Secretary is authorized to make grants to a CMP for the development and submission of Federally approved program changes that support attainment of one or more enhancement area objectives established at 15 C.F.R. § 923.122.

PSM funds will be awarded on a competitive basis to CMPs. Proposed projects shall further the objectives of an approved enhancement area strategy but shall not be essential to meeting specific benchmarks in the strategy. PSM projects shall not be dependent on long term levels of funding to succeed. Coastal Zone Management Program Regulations at 15 C.F.R. Part 923 apply to this Announcement, and program policies and procedures are further implemented through the provisions in this announcement.

## B. Program Priorities

Proposals must focus on one or more of the following priority enhancement area objectives:

- Hazards: Preventing or significantly reducing threats to life and property by eliminating development and redevelopment in high-hazard areas, managing development in other hazard areas, and anticipating and managing the effects of potential sea level rise and Great Lakes level change.
- Ocean and Great Lakes Resources: Planning for the use of ocean and Great Lakes resources. Ocean and Great Lakes Resources projects submitted to this competition should be designed to advance comprehensive ocean and Great Lakes planning efforts (e.g., planning initiatives that address multiple ocean and Great Lakes resources and uses rather than single-sector or issue plans).

Funds may be used for any of the following allowable uses which support the attainment of a program change:

- Project personnel costs;
- Supplies and overhead;
- Travel;
- Equipment (pursuant to relevant OMB guidance);
- Projects, studies and reports; and

- Pass-through or contractual costs including contracts, sub-awards, personal service contracts with individuals, memoranda of agreement/understanding, and other forms of pass-through funding for the purpose of carrying out the provisions of Section 309. Funds may not be used for land acquisition or construction projects.

### C. Program Authority

Statutory authority for this program is provided under Section 309 of the Coastal Zone Management Act, as amended (16 U.S.C. § 1456b).

## II. Award Information

### A. Funding Availability

Total anticipated funding for PSM is approximately \$1.5 million or 15% of Section 309 funds for FY 2017. Funding is subject to availability of FY 2017 appropriations. The allocation may vary depending on the total Section 309 funds available. It is estimated that approximately 6-15 proposals ranging from \$50,000 to \$250,000 will be selected. The maximum amount that may be requested for the federal share of a PSM is \$250,000. Funds not allocated for PSM awards will be returned to the weighted formula allocation under Section 309.

Publication of this announcement does not obligate NOAA to establish any specific partnership or to obligate available funds for partnership activities. There is no guarantee that funds will be available to make awards for this funding opportunity or that any proposal will be selected for funding. If an applicant incurs any costs prior to receiving an award agreement signed by an authorized NOAA official, it does so at its own risk of not being selected or of these costs not being included in a subsequent award. In no event will NOAA or the Department of Commerce (DOC) be responsible for any proposal preparation costs. In addition, NOAA and DOC will not be responsible for any incurred project costs if this program fails to receive funding.

### B. Project/Award Period

The standard financial assistance award period for FY 2017-funded projects is 18 months. NOAA may extend an award up to an additional 18 months, for a maximum performance period of three years if circumstances warrant, such as unforeseen circumstances that prevent the project from being completed within the original period of performance. In order to qualify for an extension, award recipients must be in good standing (i.e., up-to-date on all progress and financial reports), demonstrate progress during the initial period of performance, and be able to demonstrate that additional time is likely to result in

successful completion of the project. It is anticipated that projects funded under this announcement will have an award start date of October 1, 2017.

### C. Type of Funding Instrument

Projects will be funded as cooperative agreements. A cooperative agreement is used when substantial involvement of the federal government during performance of the proposed activity is anticipated. The recipient can expect substantial collaboration, participation, and/or intervention in the management of the project by NOAA. Some examples of substantial involvement by NOAA include the ability to halt an activity immediately if detailed performance specifications are not met; NOAA specifying direction or redirection of scope of work due to the interrelationships with other projects; NOAA collaborating with the recipient by working jointly with a recipient scientist or technician in carrying out the scope of work, by training recipient personnel, or detailing federal personnel to work on the project; and by NOAA limiting recipient discretion with respect to scope of work, organizational structure, staffing, mode of operations, and other management processes, coupled with close monitoring or operational involvement during performance.

## III. Eligibility Information

### A. Eligible Applicants

Any coastal State or Territorial CMP that has been approved by NOAA pursuant to the Coastal Zone Management Act (16 U.S.C. § 1455) is eligible under this announcement if the requirements established at 15 C.F.R. § 923.121 are met. Specifically, applicants must have an approved Assessment and Strategy for the 2016-2020 assessment period which includes at least one strategy for one or more of the priority enhancement areas identified in Section I.B of this federal funding opportunity (FFO). In addition to strategies that were originally approved as part of a State or Territory's Assessment and Strategy, approved strategies also include strategies that were added or substantially modified and approved by NOAA's Office for Coastal Management prior to the closing date of the competition. See 15 C.F.R. § 923.121 for all requirements.

The designated lead agency for implementing each approved CMP is eligible to submit projects for funding under this competition. For the purposes of this competition, the San Francisco Bay Conservation and Development Commission is an eligible applicant. Eligible applicants may submit a proposal on behalf of State agency partners within the approved State CMP network, if applicable. Local governments and nonprofits may participate as partners on the proposed projects; however, projects should be focused upon enhancing the approved State CMP. Each applicant may submit no more than two applications for consideration under this competition.

NOAA employees are not permitted to assist in the preparation of applications or write letters of support for any application. For proposals that involve collaboration with current NOAA projects or staff, NOAA employees may provide a limited statement verifying the nature and extent of the collaboration and confirming prior coordination activities. Letters of support from NOAA employees are not allowable and will not be included among the application materials considered by merit reviewers.

Federal agencies and employees are not allowed to receive funds under this announcement but may serve as collaborative project partners. If federal agencies are collaborators, applicants must provide detail on the expected level of federal engagement in the application. Examples might include, but are not limited to partnership services; serving in a review capacity; or participating in priority task teams, working groups, or leadership teams.

#### B. Cost Sharing or Matching Requirement

Pursuant to 15 C.F.R. § 923.124 (a) (1), there are no match requirements under this competition.

#### C. Other Criteria that Affect Eligibility

As noted above, each applicant may submit no more than two applications for consideration under this competition.

### IV. Application and Submission Information

#### A. Address to Request Application Package

Application materials are available online at: <http://www.grants.gov> (Grants.gov). If this is not feasible, application packages shall be requested from the competition manager: Elizabeth Mountz, Office for Coastal Management, NOAA National Ocean Service, 1305 East West Highway, Silver Spring, MD 20910, 240-533-0819, [Elizabeth.Mountz@noaa.gov](mailto:Elizabeth.Mountz@noaa.gov).

During the competition period, eligible applicants (the designated lead agency for implementing each approved CMP) should submit any questions regarding the competition via email to the competition manager listed above. Questions and answers will be compiled on a bi-weekly basis, at a minimum, and sent out to the CMP program managers' email list in order for all potential applicants to have access to the same level of information. All competition policy-related questions should be submitted to NOAA no later than two weeks prior to the application deadline in order to allow NOAA to respond in a timely manner for the information to be useful for all potential applicants developing proposals. NOAA will not answer any competition policy-related questions submitted in the two weeks prior to the application deadline. Competition policy-related questions include inquiries on project

eligibility, eligible costs, and project narrative requirements. However, during this period, NOAA will continue to assist applicants with questions regarding the application submittal process, such as resolving issues related to Grants.gov.

## B. Content and Form of Application

A complete NOAA financial assistance application package should be submitted in accordance with the guidelines in this document. All application materials should use a legible 11- or 12-point font with 1-inch margins on all sides. The complete application package should include the following forms and documents and should be grouped in two separate files.

A project description (proposal), as described in the application component section below, should be limited to no more than a total of 10 pages. The 10-page limit does not include the proposal title page, a table of contents if one is included, and any appendices. The budget narrative and justification should be included in the appendix, and therefore will not count towards the 10 page limit for the project description. Project descriptions that exceed the 10-page limit will be shortened by removing pages at the end of the proposal narrative before it is forwarded to merit reviewers for evaluation. Pages excised from lengthy applications will not be reviewed or considered. Applications that are incomplete, unclear, or contain numerous typographical errors may not be understood effectively by reviewers, resulting in lower evaluation scores, so applicants are advised to review their application materials closely before they are submitted to the agency for consideration.

Federal forms from the SF-424 Form family should include the following forms as applicable:

- Application for Federal Assistance: Form SF-424 (7/03 version or newer)
- Budget Information for Non-construction Programs: Form SF-424A
- Assurances for Non-construction Programs: Form SF-424B
- Certification Regarding Lobbying: Form CD-511
- Disclosure of Lobbying Activities: Form SF-LLL (if applicable)

Appendices should be limited to materials that directly support the main body of the proposal (e.g., detailed budget information, resumes, references, lists of relevant work products or reports, detailed methodologies, data sources, detailed budget information, letters of collaboration, letters of support, lists of data sources, and maps). Applicants should paginate their proposal and any appendices. Appendices should not total more than 30 total pages.

Federal forms and NEPA information, and information provided (e.g., Negotiated Indirect Cost Rate Agreement) to complete the federal forms can be separately submitted or combined and submitted in one PDF document.

Please keep in mind that the total electronic file size of the proposal narrative and appendices

combined shall not exceed 5 megabytes. Files that are larger than five megabytes may not be properly downloaded, uploaded, or received by the agency or the reviewers. Files that cannot be opened or downloaded will not be reviewed.

Each application package should include the following required elements:

1. TITLE PAGE (PROPOSAL COVER SHEET)
  - a. Project title
  - b. Proposed project period (start and end dates)
  - c. Recipient name (must match registered name in DUNS)
  - d. Recipient DUNS number (must match applicant information in Sam.gov)
  - e. Principle and other investigators (names, organization, and contact information)
  - f. Financial representatives (names, organization and contact information)
  - g. Total Requested funding

## 2. PROJECT OF SPECIAL MERIT ENHANCEMENT AREAS

Identify the enhancement area under which you would like this proposal to be considered (list all that apply: Coastal Hazards, and/or Ocean and Great Lake Resources).

## 3. ASSOCIATED PROGRAM CHANGE

- a. Identify the approved strategy that the proposed PSM will support (please use the exact title as approved in the Assessment and Strategy):
- b. List the type(s) of program change that the supported strategy was approved to result in, or implement (list all that apply):
  - A change to coastal zone boundaries; new or revised authorities, including statutes, regulations, enforceable policies, administrative decisions, executive orders, and memoranda of agreement/understanding;
  - New or revised local coastal programs and implementing ordinances;
  - New or revised coastal land acquisition, management, and restoration programs;
  - New or revised Special Area Management Plans (SAMP) or plans for Areas of Particular Concern (APC) including enforceable policies and other necessary implementation mechanisms or criteria and procedures for designating and managing APCs; and,
  - New or revised guidelines, procedures and policy documents which are formally adopted by a state or territory and provide specific interpretations of enforceable CMP program policies to applicants, local government and other agencies that will result in meaningful improvements in coastal resource management.

## 4. PROJECT DESCRIPTION

Provide a clear and concise description of the project that explains the relationship of the

proposed project to the CMP's approved Assessment and Strategy and how the proposed project will further all or part of a program change that the CMP has identified in its Strategy. In addition, the project description should include:

- clear and specific project goals, measurable objectives, and outcomes;
- how the project will further the goals and objectives of the approved 309 strategy in an innovative way;
- project activities that will be undertaken and by whom (including the identification of any sub-awardees), including stakeholder and public engagement in the development of project outcomes;
- the likelihood for success of the project approach to achieve the identified goals, objectives, and outcomes; and
- project evaluation components and activities to communicate or disseminate project outcomes.

Sufficient detail should be provided to enable reviewers to evaluate the relevance and applicability of proposed work to program priorities described in Section I.B. of this announcement; to determine the technical/scientific merit of the proposed work; to adequately review the qualifications of the applicants; and to assess whether the proposed scope of work raises any concerns with regard to federal policy considerations, such as those related to the National Environmental Policy Act, Endangered Species Act, Historic Preservation Act, and Marine Mammal Protection Act.

## 5. BENEFITS TO COASTAL MANAGEMENT

Identify and describe the anticipated project products or outcomes. Explain how the project will benefit the coastal resource management community, the public, and/or specific coastal resources. Describe performance measures that will be used to evaluate project success. The narrative should include information on dissemination of the findings/results to resource managers, local communities, and other stakeholders as appropriate. Describe how results may be transferred to other state Coastal Management Programs. The narrative should describe how the project is innovative, at either a state, regional, or national scale, particularly whether the project developments or implements new management tools or techniques that have the potential to advance the field of coastal management.

## 6. FISCAL AND TECHNICAL NEEDS AND PAST PERFORMANCE

- a) Fiscal and Technical Needs: Provide a brief description of the fiscal and technical needs of the State related to the proposed project.
- b) Past Performance under the Section 309 Program: Provide a brief description of the CMP's past performance and success in achieving the expected results of Section 309 strategies that are similar in size, scope, and relevance to the proposed project.

## 7. PROJECT WORK PLAN

Provide a work plan that includes the major tasks or actions necessary for achieving the goals and outcomes of the proposed project. Briefly describe the capacity of the program to carry out the project including collaboration with partners. The work plan should also identify milestones, outcomes, and a schedule for their completion.

Example Work Plan Format (please duplicate outline as needed for multiple tasks):

Title:

Timeframe:

Description of Activities and Program Capacity:

Milestone(s) and Date of Completion:

Outcome(s) and Date of Completion:

List of Final Products:

## 8. APPENDICES

Appendices must be limited to materials that directly support the main body of the proposal (for example, detailed budget information, copies of Federally approved indirect cost rates, letters of collaboration, resumes, references, lists of data sources, and maps).

### a. Mandatory Budget Narrative and Justification

In order to allow reviewers to evaluate the appropriateness of all costs, applications should include a detailed budget narrative and a budget justification broken out by individual task. The budget narrative submitted with the final application should match the dollar amounts included on all required forms and clearly link to the project narrative. Please explain each calculation and provide a narrative justification to explain expenditures for each budget category. The budget narrative should describe, by category of expenditure, the total funding needed to accomplish the objectives described in the project narrative for the entire award period. Please explain how categorical costs are derived in sufficient detail to enable reviewers to determine if costs are 'allowable and reasonable' according to the cost principles referenced in 2 CFR part 200, Subpart E. Budget narrative categories should correspond to the standard object class categories listed in Section B of the SF-424A. For additional details, please review the budget guidance provided at:

[http://coast.noaa.gov/funding/\\_pdf/forms/budget-narrative-guidance-GMD-04.09.2015.pdf](http://coast.noaa.gov/funding/_pdf/forms/budget-narrative-guidance-GMD-04.09.2015.pdf)

The budget table should detail the federal Section 309 funds required for each task by budget category as described below:

Budget Category	Task 1	Task 2	Task 3	Total
Personnel				
Fringe				
Travel				
Subcontract				

Indirect  
Supplies  
Equipment  
Other  
Total

Please note the following:

-Funds for salaries and fringe benefits may be requested only for those personnel who are directly involved in implementing the proposed project and whose salaries and fringe benefits are directly related to specific products or outcomes of the proposed project. NOAA strongly encourages applicants to request reasonable amounts of funding for salaries and fringe benefits to ensure that your proposal is competitive.

- PSM funds may not be used to contract with NOAA for products or services. NOAA also cannot 'retain' PSM funds; all PSM funds must be awarded to the state.

The budget narrative also should clearly identify the cost of separable elements of the proposed work and shall identify the elements of the project that the cooperator would recommend for revision or elimination if sufficient funding is not available for all proposed activities.

Applicants should include detailed budget information regarding all subawards, and indicate the basis for the cost estimates in the narrative. Describe project activities to occur and indicate the applicability or necessity of each to the project. Each identified subaward should include form SF-424A. Detailed budget information includes:

- Name of identified qualified subrecipient. subrecipient, affiliation, contact information, and method of selection. For “to be determined,” describe plans for selection.
- Period of Performance. Include the dates for the performance period. If it involves a number of tasks, include the performance period for each task.
- Scope of Work. List and describe the specific activities or tasks to be performed.
- Criteria for Measuring Accountability: Include an itemized line item breakdown as well as total contract/award amount. If applicable, include any indirect costs paid under the contract/award and the indirect cost rate used.
- Itemized Budget. Include categories used in program budget.

All subawards and contracts must be made consistent with the requirements of 2 CFR §§200.330-200.332 for subawards, and §§200.317-200.326 for procurements.

For any equipment, a description of the item and associated costs is required, including a description of how it will be used in the project. Note that equipment is defined as tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the non-federal entity for financial statement purposes, or

\$5,000. See 2 C.F.R. 200.33 Equipment, and see 2 CFR §200.313.

Non-federal applicants should identify, if this information is known when submitting the grant application, who they plan to request that NOAA transfer equipment or property ownership titles to after the project ends. The decision on grant ownership requests will be made by the Grants Officer during the grant closeout process.

The cost or price, purpose, and method of selection for identified and planned acquisition contracts should be thoroughly justified in the Budget Narrative. Describe products or services to be obtained and indicate the applicability or necessity of each to the project. Procurements are subject to policies described in 2 C.F.R. 200.317-326. For “to be determined,” describe plans for selection.

The budget narrative should also provide, to the extent possible, detailed information on travel, including costs, a description of anticipated travel, destinations, the number of travelers, and a justification of how the requested travel is directly relevant to the successful completion of the project. If actual trip details are unknown, applicants should state the basis for the proposed travel charges. Applicants should allocate travel funds for any coordination meetings at regional or national levels.

If a foreign air carrier is anticipated to be used for any portion of travel, prior approval is required under the Department of Commerce Financial Assistance Standard Terms and Conditions, Section K.03.c., <http://go.usa.gov/3zVu9>, and therefore, such travel should be included in the proposal to avoid having to request prior approval after the project starts. Applicants may factor in travel costs for participation in an annual NOAA Grants Management Division workshop for recipients.

Refer to item 12 below in this Section regarding treatment of Indirect Costs in the Budget Narrative.

Refer to Section IV.E. of this FFO regarding treatment of Indirect Costs in the Budget.

b. Letters of Collaboration. All supporting letters from partner organizations that are instrumental to the project should be included in the application package. Letters must clearly indicate the level of commitment and/or collaboration. Letters of collaboration do not need original signatures.

c. Resumes. Provide resumes of the Principal Investigator for the project and other key personnel critical to the success of the project. Ensure that resumes address qualifications relevant to conducting the proposed work. Please limit resumes to a maximum of two pages of each key investigator.

d. Data Sharing Plan

1. Environmental data and information collected or created under NOAA grants or cooperative agreements must be made discoverable by and accessible to the general public, in a timely fashion (typically within two years), free of charge or at no more than the cost of reproduction, unless an exemption is granted by the NOAA Program. Data should be available in at least one machine-readable format, preferably a widely-used or open-standard

format, and should also be accompanied by machine-readable documentation (metadata), preferably based on widely used or international standards.

2. Proposals submitted in response to this Announcement must include a Data Management Plan of up to two pages describing how these requirements will be satisfied. The Data Management Plan should be aligned with the Data Management Guidance provided by NOAA in the Announcement. The contents of the Data Management Plan (or absence thereof), and past performance regarding such plans, will be considered as part of proposal review. A typical plan should include descriptions of the types of environmental data and information expected to be created during the course of the project; the tentative date by which data will be shared; the standards to be used for data/metadata format and content; methods for providing data access; approximate total volume of data to be collected; and prior experience in making such data accessible. The costs of data preparation, accessibility, or archiving may be included in the proposal budget unless otherwise stated in the Guidance. Accepted submission of data to the NOAA National Centers for Environmental Information (NCEI) is one way to satisfy data sharing requirements; however, NCEI is not obligated to accept all submissions and may charge a fee, particularly for large or unusual datasets.

3. NOAA may, at its own discretion, make publicly visible the Data Management Plan from funded proposals, or use information from the Data Management Plan to produce a formal metadata record and include that metadata in a Catalog to indicate the pending availability of new data.

4. Proposal submitters are hereby advised that the final pre-publication manuscripts of scholarly articles produced entirely or primarily with NOAA funding will be required to be submitted to NOAA Institutional Repository after acceptance, and no later than upon publication. Such manuscripts shall be made publicly available by NOAA one year after publication by the journal.

NOAA's Administrative Order on the Management of Environmental Data Management and Information is available under:

[http://www.corporateservices.noaa.gov/ames/administrative\\_orders/chapter\\_212/212-15.html](http://www.corporateservices.noaa.gov/ames/administrative_orders/chapter_212/212-15.html)

Principal Investigators should indicate how and when they have made their data accessible and usable by the community in the past.

e. National Environmental Policy Act (NEPA) and Environmental Compliance-- Under NEPA, NOAA must analyze the potential environmental impacts of projects or proposals seeking funding from NOAA.

After the application is submitted, NOAA may require additional information to fulfill NEPA and other compliance requirements. If NOAA determines that an environmental assessment is required, applicants may also be requested to assist in drafting the assessment. Applicants may also be required to cooperate with NOAA in identifying and implementing feasible measures to reduce or avoid any identified adverse environmental impacts of their

proposal. The failure to do so shall be grounds for the denial of an application. In some cases if additional information is required after an application is selected, funds can be withheld by the Grants Officer under a special award condition requiring the recipient to submit additional environmental compliance information sufficient to enable NOAA to make an assessment on any impacts that a project may have on the environment.

The applicants selected for funding must complete questions 1 - 19 of the Environmental Compliance Questionnaire for National Oceanic and Atmospheric Administration Federal Financial Assistance Applicants accessible at [www.nepa.noaa.gov/questionnaire.pdf](http://www.nepa.noaa.gov/questionnaire.pdf) to provide detailed information on the activities to be conducted, locations, sites, species and habitat to be affected, possible construction activities, and any environmental concerns that may exist (e.g., the use and disposal of hazardous or toxic chemicals, introduction of non-indigenous species, impacts to endangered and threatened species, aquaculture projects, and impacts to coral reef systems).

Detailed information on NOAA compliance with NEPA can be found at the following NOAA NEPA website: <http://www.nepa.noaa.gov/> including NOAA Administrative Order 216-6 for NEPA, [http://www.nepa.noaa.gov/NAO216\\_6.pdf](http://www.nepa.noaa.gov/NAO216_6.pdf) and the Council on Environmental Quality implementation regulations [http://ceq.hss.doe.gov/ceq\\_regulations/regulations.html](http://ceq.hss.doe.gov/ceq_regulations/regulations.html) or [http://coast.noaa.gov/funding/\\_pdf/CEQ%20Regulations%20for%20Implementing%20the%20Procedural%20Provisions%20of%20NEPA.pdf?redirect=301ocm](http://coast.noaa.gov/funding/_pdf/CEQ%20Regulations%20for%20Implementing%20the%20Procedural%20Provisions%20of%20NEPA.pdf?redirect=301ocm)

6. Standard Form 424: Application for Federal Assistance (7/2003 version or newer)

Applicants requesting Federal funding in this program must submit a copy of Standard Form SF-424, 'Application for Federal Assistance'. If a hard copy final application is submitted, it must be signed and dated by the organization's authorized representative, and used as the cover page for the final application. An electronic signature and date stamp will automatically be included on SF-424 forms submitted via Grants.gov.

7. Standard Form 424A: Budget Information for Non-construction Programs (include a separate form for each year of funding and for each proposed sub-award of \$25,000 or more) Applicants are required to submit a SF-424A Budget Form to summarize the budget for the proposed scope of work. The first column of sections A and B shows the federal funds requested, while the second column of sections A and B shows the non-federal matching funds provided for the project. Total award funding is shown in the final column of section B. Non-federal funding is broken out by source in section C. Forecasted cash needs in Section D should be inclusive of all funding for the project. Both federal and non-federal funds should be expended at a similar rate throughout the course of the project. Section E may be left blank. Section F summarizes the direct charges in line 21 and lists the indirect charges requested; please show the rate used in line 22. Please note that completion of the

SF-424A form as described above represents NOAA's preference and may not be consistent with the instructions that accompany the SF-424A form. All budget figures should match the funding requested on the application cover sheet and correspond with the descriptions contained in the project and budget narratives.

All subawards should also be accompanied by a separate SF-424A form to fully document the proposed subaward budget.

#### 8. Standard Form 424B: Assurances for Non-Construction Programs

Applicants are required to submit a signed SF-424B, 'Assurances for Non-Construction Programs'.

#### 9. Standard Form CD-511: Certification Regarding Lobbying

Applicants are required to submit a form CD-511, 'Certifications Regarding Lobbying'.

#### 10. Standard Form LLL Disclosure of Lobbying Activities (if applicable)

If applicable, applicants may be required to disclose certain lobbying activities described in form SF-LLL.

#### 11. Permits and Approvals

It is the responsibility of the applicant to obtain all necessary federal, state, and local government permits and approvals for the proposed work. Applicants must provide a list of all known permits that will be required to perform the proposed work and an indication of the status of any permits needed (e.g., not yet applied, permit application submitted/pending, permit granted, etc.) or a statement indicating that no permits are necessary. You should include this required element even if permits are not required.

Failure to apply for and/or obtain federal, state, and local permits, approvals, letters of agreement, or failure to provide environmental analyses where necessary (i.e., NEPA environmental assessment) will delay or prevent the award of funds for projects that have been preliminarily selected for funding.

For work proposed within National Marine Sanctuaries, National Parks, National Seashores, and other federally designated managed areas, it is the responsibility of the applicant to request and obtain any necessary permits or letters of agreement from the appropriate government agencies prior to commencement of an award. For applicants who propose to conduct research or monitoring activities that may affect any coral species that are listed under the Endangered Species Act, you will likely need an Endangered Species Act Section 10(a)(1)(A) permit. For more information see: <http://www.nmfs.noaa.gov/pr/permits/corals.htm>.

12. Indirect Cost Rate. The proposed budget may include an amount for indirect or Facilities and Administrative costs if the applicant has an established indirect cost rate with the federal government. Indirect costs are essentially overhead costs for basic operational functions (e.g., utilities, rent, and insurance) that are incurred for common or joint objectives and, therefore, cannot be identified specifically within a particular project. See 2 C.F.R. 200.56-.57 and 200.412-.415.

A copy of the current, approved negotiated indirect cost agreement with the federal Government should be included with the final application package. If an award recipient has never established an indirect cost rate with any federal agency, the recipient may request to use the de minimis rate described at 2 CFR 200.414. Non-federal entities that have never received a negotiated indirect (F&A) cost rate elect to charge a de minimis rate at 10% of modified total direct costs (MTDC). The de minimis indirect cost rate should be used for all federal awards. Non-federal entities may use this rate indefinitely, but may choose to negotiate an indirect (F&A) cost rate at any time. This de minimis rate option is not available to state and local governments, and Indian tribes.

If the applicant does not have a current negotiated rate and plans to establish a new negotiated indirect cost rate agreement, documentation necessary to establish a rate must be submitted within 90 days of receiving an award. See Section IV. E. for more information on indirect cost rates and establishing a new indirect cost rate with the Department of Commerce.

13. In addition to the standard elements described above, applicants may upload additional supporting documentation, such as the resumes and qualifications of applicants, and letters of support or statements of collaboration, by using the 'Optional Form' box under 'Other Attachments' in Grants.gov during the application submission process.

For applications submitted in electronic format, acceptable formats are limited to Adobe Acrobat (.PDF) or Microsoft Word files.

### C. Unique Entity Identifier and System for Award Management (SAM)

To enable the use of a universal identifier and to build the quality of information available to the public as required by the Federal Funding Accountability and Transparency Act, 16 U.S.C. 6106.

To the extent applicable, any applicant awarded in response to this announcement will be required to use the System for Award Management (SAM), which may be accessed online at <https://www.sam.gov/portal/public/SAM/>.

Each applicant (unless the applicant is an individual or Federal awarding agency that is excepted from those requirements under 2 CFR §25.110(b) or (c), or has an exception approved by the federal awarding agency under 2 CFR §25.110(d)) is required to: (i) Be

registered in SAM before submitting its application; (ii) provide a valid unique entity identifier in its application; and (iii) continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by a federal awarding agency. A federal awarding agency may not make a federal award to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements and, if an applicant has not fully complied with the requirements by the time the federal awarding agency is ready to make a federal award, the federal awarding agency may determine that the applicant is not qualified to receive a federal award and use that determination as a basis for making a federal award to another applicant.

Applicants are also required to use the Dun and Bradstreet Universal Numbering System ([www.dnb.com](http://www.dnb.com)) as identified in OMB guidance published at 2 CFR Part 25, , found at <http://go.usa.gov/xZZn4>. A recipient's DUNS number must correspond with the recipient's information in Sam.gov.

Applicants should allow a minimum of thirty days to receive a DUNS number and to be registered in SAM, or to assure that required annual updates are complete.. Applicants are strongly encouraged not to wait until the application deadline date to begin the application process through [www.grants.gov](http://www.grants.gov).

#### D. Submission Dates and Times

Full proposals must be received and validated by Grants.gov on or before 11:59 p.m. Eastern Time, November 18, 2016.

Please note: Validation or rejection of your application by Grants.gov may take up to two business days after submission. Please consider this process in developing your submission timeline. Applications received after the deadline will be rejected/returned to the sender without further consideration.

If for any reason applicants are unable to submit their application through Grants.gov, they may provide a paper copy of their full application by mail. Applications submitted by mail must include all relevant application elements described above, must include a SF-424 form with original ink or valid electronic signature and date from an authorized recipient organization representative, and must be stamped with an official U.S. Postal Service postmark or provided to a commercial carrier with tracking number and receipt on or before 11:59 pm Eastern on Friday, November 18, 2016; private metered postmarks are unacceptable. Please address all mailed applications to: Elizabeth Mountz, NOAA Office for Coastal Management, 1305 East West Highway, SSMC4, N/OCM6, 10th Floor, Room 10652, Silver Spring, MD 20910. Paper applications received more than 5 business days after the deadline will not be reviewed, and applicants submitting by paper are responsible for tracking their applications.

## E. Intergovernmental Review

Funding applications submitted under this competition are subject to Executive Order 12372, Intergovernmental Review of Federal Programs. It is the state agency's responsibility to contact their state's Single Point of Contact (SPCO) to find out about and comply with the state's process under EO 12372. To assist the applicant, names and addresses of the SPOCs are listed on the Office of Management and Budget's website:

[http://www.whitehouse.gov/omb/grants\\_spoc](http://www.whitehouse.gov/omb/grants_spoc).

## F. Funding Restrictions

### 1. Indirect Costs

Applicants are permitted to request indirect costs if their organization has an established Negotiated Indirect Cost Rate Agreement with a federal agency that covers the period of the award. Applicants requesting indirect costs should submit a copy of their current and signed indirect cost rate agreement with their application package.

If an award recipient has not previously established an indirect cost rate with any federal agency, the recipient may request to use the de minimus rate described at 2 CFR 200.414, as described in Section IV.B.12 of this Announcement. Alternatively, the negotiation and approval of a new rate is subject to the procedures required by NOAA and the Department of Commerce. The U.S. Department of Commerce, Financial Assistance Standard Terms and Conditions require that recipients within 90 days of the award start date, submit to the address listed below documentation (indirect cost proposal, cost allocation plan, etc.) necessary to perform the review.

Lamar Revis, Grants Officer  
NOAA Grants Management Division  
1325 East West Highway, 9th Floor  
Silver Spring, Maryland 20910  
[Lamar.Revis@noaa.gov](mailto:Lamar.Revis@noaa.gov)

Indirect-cost-rate-agreement documentation is not required for sub-awardees; however indirect cost rates at the negotiated levels should be paid by the primary awardee. Under 2 C.F.R. § 200.414 "Indirect (F&A) Costs," any applicant that has never received a negotiated indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs which may be used indefinitely. Costs must be consistently charged as either indirect or direct costs, but may not be double charged or inconsistently charged as both pursuant to 2 C.F.R. § 200.403 "Factors affecting allowability of costs." If chosen, this methodology once elected must be used consistently for all Federal awards until such time as a cooperator chooses to negotiate for a rate, which the non-federal entity may apply to do at any time. The negotiation and approval of a rate is subject to the procedures required by NOAA and

the Department of Commerce Standard Terms and Conditions Section B.06.

## 2. Ineligible projects:

The following projects will not be eligible for funding: (1) Activities that constitute legally required mitigation for the adverse effects of an activity regulated or otherwise governed by state or federal law; (2) Activities that constitute mitigation for natural resource damages under federal or state law; and (3) Activities that are required by a separate consent decree, court order, statute or regulation.

## 3. Cost Principles:

Funds awarded cannot necessarily pay for all the costs that the recipient might incur in the course of carrying out the project. Allowable costs are limited to costs necessary and reasonable to achieve the approved goals and objectives and are determined by reference to relevant Office of Management and Budget (OMB) requirements.

Recipients are subject to the 2 CFR 200, Subpart E “Cost Principles” and as well as any Department of Commerce implementing regulations that may be in effect at the time of award. Generally, costs that are allowable include salaries, fringe benefits, travel, equipment, supplies, and training, as long as the costs are determined to be necessary, reasonable, and allocable to the award.

Generally, costs that are allowable include salaries, fringe benefits, travel, equipment, supplies, and training, as long as the costs are determined to be necessary, reasonable, and allocable to the award.

## G. Other Submission Requirements

The standard NOAA funding application package is available at [www.grants.gov](http://www.grants.gov) (Grants.gov) and application packages, including all letters of collaboration, shall be submitted through the “Apply” function on Grants.gov. Applicants must register with Grants.gov before any application materials can be submitted. To use Grants.gov, applicants must have a Dun and Bradstreet Data Universal Numbering System (DUNS) number and be registered in the System for Award Management (SAM)), and periodic renewals are required.

Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at 1-866-705-5711 or online at <http://fedgov.dnb.com/webform>. Allow a minimum of five days to complete the SAM registration. (Note: Your organization’s Employer Identification Number (EIN) will be needed on the application form). An organization's one time registration process may take up to three weeks to complete. In addition, it may take two days until the applicant is notified as to whether NOAA received the application, so allow sufficient time to ensure applications are submitted before the closing date.

The Grants.gov site contains directions for submitting an application, the application package (forms), and is also where the completed application is submitted. Applicants using Grants.gov must locate the downloadable application package for this solicitation by the Funding Opportunity Number or the CFDA number (11.419). Applicants will be able to download a copy of the application package, complete it offline, and then upload and submit the application via the Grants.gov site.

After electronic submission of the application through Grants.gov, the person submitting the application will receive within the next 24 to 48 hours two email messages from Grants.gov updating them on the progress of their application. The first email will confirm receipt of the application by the Grants.gov system, and the second will indicate that the application has either been successfully validated by the system before transmission to the grantor agency or has been rejected because of errors. Only validated applications are sent to NOAA for review. After the application has been validated, this same person will receive a third email when the application has been downloaded by the federal agency.

If use of Grants.gov is not feasible, one original set of the complete application and related SF-424 with original ink signature and date by the applicant's authorized representative shall be mailed to the attention of Elizabeth Mountz, NOAA Office for Coastal Management, 1305 East West Highway, SSMC4, N/OCM6, 10th Floor, Room 10652, Silver Spring, MD 20910. Mailed applications must include documentation to demonstrate that the application was submitted prior to the application deadline, such as an official U.S. Postal Service postmark; private metered postmarks are unacceptable. No email or fax copies will be accepted. Paper applications received more than 5 business days after the deadline will not be reviewed; applicants submitting by paper are responsible for tracking their applications. Proposal application packages, including all letters of collaboration and letters of support, shall be submitted together in one package.

## V. Application Review Information

### A. Evaluation Criteria

a. Importance and relevance applicability of proposed project to program goals (45 percent).

This criterion ascertains whether there is intrinsic value in the proposed project and/or relevance to NOAA, Federal, or State activities. Each application will be judged on the importance of the project to the management of coastal issues in the applicant's State or region and how the CMP will benefit from the project. Applications will be evaluated on the following:

- Likelihood that the proposed project would help the State make substantial progress toward achieving the program change(s) as described in the project proposal. (15 points)

- Likelihood that the proposed project would result in improved management of ocean and coastal resources, particularly related to hazards resiliency and advancing comprehensive ocean and Great Lakes planning. (15 points)
- Transferability of project results to solve coastal management issues in other states. (10 points)
- Level of innovation of the proposed project. (5 points)

b. Technical/scientific merit (30 percent).

This criterion assesses whether the approach is technically sound and/or innovative, if the methods are appropriate, and whether there are clear project goals, objectives, and outcomes. Applications will be evaluated on the following:

- Extent to which the project proposal includes clear goals, objectives, outcomes and timetables. (10 points)
- Extent to which the proposed approach is technically sound (i.e., the proposed methods, approaches, and concepts are appropriate given the projects goals, objectives, and desired outcomes) (10 points)
- Degree to which the proposal identifies the State's technical needs and explains how the proposed project will help meet those needs. (5 points)
- Degree to which there is a meaningful evaluative component to the project to assess the overall success of the project and effectiveness of project outcomes. (5 points)

c. Overall qualifications of the funding applicants (10 percent).

This criterion ascertains whether the funding applicant possesses the necessary program education, experience, training, facilities, and administrative resources to accomplish the project. Applications will be evaluated based on the following:

- Overall qualification of the applicant and demonstration of past performance. (5 points)
- The project involves the appropriate partners to execute the project, as well as the key personnel from other agencies and institutions partnering on the project with the experience, expertise and/or networks needed to capitalize on available expertise. (5 points)

d. Project costs (5 percent).

This criterion evaluates the budget to determine if it is realistic and commensurate with the project needs and time-frame. Applications will be evaluated on the following:

- The reasonableness and appropriateness of the proposed budget for the level of work proposed and with the expected benefits to be achieved. (5 points)

e. Outreach and education (10 percent).

This criterion assesses whether the project provides a focused and effective education and outreach strategy regarding NOAA's mission to understand and protect the Nation's natural resources. Applications will be evaluated on the following:

- Engagement of public and project stakeholders in the development of the desired project outcomes (5 points)

- Quality of proposed education and outreach activities to ensure public, other state coastal management programs, and relevant stakeholders are aware of and can benefit from project results. (5 points)

## B. Review and Selection Process

An initial administrative review is conducted on each application to assure that it is timely, responsive, and complete. NOAA, in its sole discretion, may continue the review process for applications with non-substantive issues that may be easily rectified or cured. Applications that meet the minimum requirements will be reviewed by at least three independent peer reviewers with coral reef and/or fisheries management experience during a merit-based review and ranking process. Appropriate mechanisms will be implemented to avoid potential conflicts of interest during the proposal review process. Each reviewer will be asked to individually evaluate and rank proposals using the weighted evaluation criteria above. Proposal evaluations will be based exclusively on information included in the application. Merit reviewer ratings will be used to produce a rank order of the proposals. The Selecting Official or their designee may negotiate the funding level or other major aspects of the proposal, and the Selecting Official will make the final recommendation for award based on the rank order and selection factors below to the Grants Officer, who is authorized to obligate Federal funding and execute the award.

NOAA may select all, some, or none of the applications, or part of any application, ask applicants to work together or combine projects, defer applications to the future, or reallocate funds to different funding categories, to the extent authorized. If no proposal is funded in the current fiscal period, a proposal may be considered for funding in another fiscal period without NOAA repeating the competitive process outlined in this announcement.

Please note that not all activities submitted under a single proposal may be deemed appropriate for funding, and the Selecting Official may recommend alternate activities as appropriate or only partial funding, based on the selection factors and the merit and/or panel review written evaluations. For a proposal to be selected for funding, the applicant may be asked to modify objectives and activities, work plans, and budgets, and to provide supplemental information required by the agency prior to the award. This may result in submission of a revised application before final funding decisions are made. The exact amount of funds to be awarded, the final scope of activities, the project duration, and other relevant application details will be determined in pre-award negotiations among the applicant, NOAA GMD, and Office for Coastal Management officials. Applicants should also note that modifications to projects may be necessary as a result of NOAA's efforts to comply with NEPA and other legislation.

The NOAA Grants Officer will review financial and grants administration aspects of a

proposed award, including conducting an assessment of the risk posed by the applicant in accordance with 2 C.F.R. 200.205. In addition to reviewing repositories of government-wide eligibility, qualifications or financial integrity information, the risk assessment conducted by NOAA may consider items such as the financial stability of an applicant, quality of the applicant's management systems, an applicant's history of performance, previous audit reports and audit findings concerning the applicant and the applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities. Applicants should be in compliance with the terms of any existing NOAA grants or cooperative agreements and otherwise eligible to receive Federal awards, or make arrangements satisfactory to the Grants Officer, to be considered for funding under this competition. All reports due should be received and any concerns raised by the agency should be timely addressed in order to receive a new award. Upon review of these factors, if appropriate, specific award conditions that respond to the degree of risk may be applied by the NOAA Grants Officer pursuant to 2 C.F.R. 200.207. In addition, NOAA reserves the right to reject an application in its entirety where information is uncovered that raises a significant risk with respect to the responsibility or suitability of an applicant. The final approval of selected applications and issuance of awards will be by the NOAA Grants Officer. The award decision of the Grants Officer is final and there is no right of appeal. When a decision has been made (whether an award or declination), anonymous copies of mail merit review comments or summaries of panel deliberations, can be made available to the applicant upon request.

### C. Selection Factors

The final panel ratings shall provide a rank order to the Selecting Official for final funding recommendations. The competition manager will make recommendations to the Selecting Official applying the selection factors below. The Selecting Official shall award in the rank order unless the proposal is justified to be selected out of rank order based upon one or more of the following factors:

1. Availability of funding.
2. Balance/distribution of funds:
  - a. Geographically.
  - b. By type of institutions.
  - c. By type of partners.
  - d. By research areas.
  - e. By project types.
3. Whether this project duplicates other projects funded or considered for funding by NOAA or other Federal agencies.

4. Program priorities and policy factors as described in Section I of this federal funding opportunity.
  5. Applicant's prior award performance.
  6. Partnerships and/or Participation of targeted groups.
  7. Adequacy of information necessary for NOAA staff to make a NEPA determination and draft necessary documentation before recommendations for funding are made to the Grants Officer.
- The Selecting Official or designee may negotiate the funding level of the proposal.

#### D. Anticipated Announcement and Award Dates

Successful applicants will be notified in approximately September 2017. The anticipated start date for cooperative agreement awards made under this competition is October 1, 2017, regardless of the start date for a State's annual CMP award. The start date is dependent on funding availability, acceptable completion of all NOAA/applicant negotiations including NEPA and environmental compliance analysis and permit requirements, and the provision of other supporting documentation as requested.

Unsuccessful applicants will be notified by e-mail that their application was not recommended for funding after the final section package has been approved by the NOAA Grants Management Division, which is expected to be approximately September 2017.

Unsuccessful applications submitted to this competition will be retained for a period of up to 3 years and then destroyed.

## VI. Award Administration Information

### A. Award Notices

Applications recommended for funding by the Selecting Official will be forwarded to the NOAA Grants Management Division (GMD) by the Program Office. The applicant will be notified by the program office by e-mail that their application was recommended for funding and remains under consideration. The applicant must be aware that the notification by the program office is NOT an official award notice and funding is not assured. Official notification happens only when the applicant receives an award notice from the Grants Officer electronically.

The official notice of award is the Standard Form CD-450, Financial Assistance Award, issued by the NOAA Grants Officer electronically through NOAA's grants management system, Grants Online. The CD-450 award cover page is available at <http://go.usa.gov/SNMR>. The Internet Explorer browser should be used with Grants Online. The Department of Commerce Financial Assistance Standard Terms and Conditions will

apply to awards in this program. A current version of this document is available at <http://go.usa.gov/hKbj>. These terms will be provided in the award package in Grants Online at <http://www.ago.noaa.gov>.

In addition, award documents provided by NOAA may contain special award conditions limiting the use of funds for activities that have outstanding environmental compliance requirements and may lead to modification of the project's scope of work. These special award conditions may also include other compliance requirements for the award and will be applied on a case-by-case basis. Applicants are strongly encouraged to review award documents carefully before accepting a Federal award to ensure they are fully aware of the relevant terms that have been placed on the award.

## B. Administrative and National Policy Requirements

### 1. Department of Commerce Pre-Award Notice

Department of Commerce Pre-Award Notification Requirements for Grants and Cooperative Agreements contained in the Federal Register notice of December 30, 2014 (79 FR 78390) are applicable to this solicitation and may be accessed online at <http://www.gpo.gov/fdsys/pkg/FR-2014-12-30/pdf/2014-30297.pdf>.

### 2. Uniform Administrative Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) at 2 C.F.R. Part 200, adopted by the Department of Commerce through 2 C.F.R. 1327.101, applies to awards in this program. Refer to <http://go.usa.gov/SBYh> and <http://go.usa.gov/SBg4>.

### 3. Limitation of Liability

There is no guarantee that funds will be available to make awards for this federal funding opportunity or that any proposal will be selected for funding. Applicants are hereby given notice that funds have not yet been appropriated for the competition described in this notice and are advised that the competition described herein may be subject to cancellation due to unavailability of funding or revision of agency priorities. Publication of this announcement does not oblige NOAA to award funding for specific projects or obligate available funds.

If an applicant incurs any costs prior to receiving an award agreement signed by the NOAA, Grants Management Division, Grants Officer, it does so at its own risk of not receiving an award or of these costs not being included in a subsequent award. In no event will NOAA or the Department of Commerce be responsible for any proposal preparation costs.

Recipients and sub-recipients are subject to all federal laws and agency policies, regulations, and procedures applicable to federal financial assistance awards.

Publication of this announcement does not oblige NOAA to award any specific project, obligate any available funds, or provide special fishing privileges.

Funded awards are subject to enforcement and termination provisions under 2 C.F.R.

200.338-.342.

#### 4. National Environmental Policy Act (NEPA)

See the NEPA information in Section IV.B. of this announcement.

#### 5. Felony and Tax Certification

When applicable under appropriations law, NOAA will provide certain applicants a form to be completed by the applicant's authorized representative making a certification about any Federally-assessed unpaid or delinquent tax liability or recent felony criminal convictions under any Federal law. If a form is provided, an award may not be issued until it is returned and accepted by NOAA.

#### 6. Coastal Zone Enhancement Program Requirements

The project must meet the CZMA Section 309 Program Guidance FY 2016-2020 published in June 2014. The Guidance may be accessed online at [http://coast.noaa.gov/czm/media/Sect-309\\_Guidance\\_June2014.pdf](http://coast.noaa.gov/czm/media/Sect-309_Guidance_June2014.pdf).

#### 7. Confidentiality and Access to Information

Application materials may be considered public documents and may be released to individuals outside the agency pursuant to the Freedom of Information Act. The Office for Coastal Management reserves the right to share application materials with relevant individuals and organizations as authorized for the purposes of improved coordination and collaboration. However, the Office for Coastal Management will not ordinarily release the names of applicants submitting proposals unless ordered by a court or requested to do so by an appropriate NOAA official and administrative protocol. Applicants can use a NOAA public search feature to find out information about NOAA awards < <https://grantsonline.rdc.noaa.gov/flows/publicSearch/begin.do> > or go through the Freedom of Information Act process to request more information about grant competitions. More information about the NOAA FOI process is online at <http://www.noaa.gov/foia/>. The Freedom of Information Act (5 U.S.C. § 552) (FOIA) and DOC's implementing regulations at 15 C.F.R. Part 4 set forth the rules and procedures to make requested material, information, and records publicly available. Unless prohibited by law and to the extent permitted under FOIA, contents of applications submitted by applicants may be released in response to FOIA requests. In the event that an application contains information or data that the applicant deems to be confidential commercial information, that information should be identified, bracketed, and marked by applicants as "Privileged, Confidential, Commercial or Financial Information." Based on these markings, the confidentiality of the contents of those pages will be protected to the extent permitted by law.

#### C. Reporting

Award recipients will be required to submit financial and performance (technical)

progress reports consistent with 2 C.F.R. 200.327-.329 and Department of Commerce Standard Terms and Conditions electronically through NOAA's electronic grants management system, Grants Online. Performance reports must be submitted on a semi-annual schedule no later than 30 days following the end of each 6-month period from the start date of the award. Financial reports must be submitted every 6 months by the end of April and October during the period of the award in accordance with the Department of Commerce Financial Assistance Standard Terms and Conditions. NOAA will provide instructions for submitting financial and progress reports upon request.

A comprehensive final report is due 90 days after the award expiration date along with copies of all products developed under the award. Copies of all materials (including but not limited to brochures, posters, videos, DVDs, publications, reports, management plans, public service announcements, workshop proceedings, etc.) produced through the award, along with copies of any reports submitted by subcontractors as part of the award, must be provided to the program office within 90 days of the end of the award. Except where limited by law, regulation, policy or security, recipients are requested to include a statement on the front page of all products to indicate the material is "Approved for public release; distribution is unlimited." If the applicant has requested publication costs, resulting journal publications must be made available to the public free of charge.

Successful applicants will be requested to ensure that all interim progress reports indicate whether financial reports have been submitted to NOAA's Grants Management Division and are up to date. In their final progress report, recipients will be asked to (a) clearly state the resulting impact of their project and products in the coastal management community; and (b) certify that "Final financial reports have been submitted to NOAA's Grants Management Division and a final funding draw-down has been made through the Automated Standard Application for Payments (ASAP)."

The Federal Funding Accountability and Transparency Act, 31 U.S.C. 6106 Note, includes a requirement for awardees of applicable Federal grants to report information about first-tier subawards and executive compensation under Federal assistance awards issued in FY 2011 or later. All awardees of applicable grants and cooperative agreements are required to report to the Federal Subaward Reporting System (FSRS) available at [www.FSRS.gov](http://www.FSRS.gov) on all subawards over \$25,000. See 2 C.F.R. Part 170 at <http://go.usa.gov/xZZRV>.

If equipment or tangible personal property is purchased with grant funds, applicants may be asked to submit an inventory at closeout consistent with 2 C.F.R. Part 200. Administrative requirements for equipment are set out at 2 C.F.R. § 200.313. As applicable, the recipient may submit Form SF-428 (Tangible Personal Property Report) at closeout. The program office recommends that if the equipment is no longer needed, recipients are encouraged to request disposition instructions for equipment approximately 150 days before the project period ends to allow sufficient time to have equipment disposition requests addressed before a project ends. Equipment disposition instructions typically require that recipients complete

an "other" award action request in Grants Online. NOAA will provide instructions for disposition in accordance with 2 C.F.R. Part 200.

As applicable, the recipient must report on real property annually and at award closeout, by completing Form SF-429 (Real Property Status Report).

## VII. Agency Contacts

For administrative or technical questions regarding the announcement, contact the competition manager: Elizabeth Mountz, NOAA's Office for Coastal Management, 1305 East West Highway, Silver Spring, MD 20910, or by phone at 240-533-0819, or via email at [Elizabeth.Mountz@noaa.gov](mailto:Elizabeth.Mountz@noaa.gov).

## VIII. Other Information

There is no guarantee that funds will be available to make awards for this Federal funding opportunity or that any proposal will be selected for funding. If an applicant incurs any costs prior to receiving an award agreement signed by an authorized NOAA official, they do so at their own risk of these costs not being included in a subsequent award. In no event will NOAA or the Department of Commerce be responsible for any proposal preparation costs. In addition, NOAA and DOC will not be responsible for proposal or project costs if this program fails to receive funding. Recipients and sub-recipients are subject to all federal laws and agency policies, regulations, and procedures applicable to federal financial assistance awards. Applicants must be in good standing with all existing NOAA grants and/or cooperative funding agreements in order to receive funds under this announcement.

The NOAA program office reserves the right to immediately halt activity under the award if the recipient fails to fulfill the requirements of the project as described in the final negotiated application submitted to the agency. Non-compliance may result in termination of the award.