

# **Project Report**

## **LIDAR Data Collection and Processing for the Central Coast of California, 2010**

**Prepared by:**

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## SUMMARY

Digital Mapping, Inc. (DMI) was contracted by the Association of Monterey Bay Area Governments (AMBAG) to perform high resolution LIDAR (Light Detection and Ranging) survey work for the Central Coast of California including areas of Monterey, San Benito, and Santa Cruz Counties. LIDAR data were collected for an area of 1,713 square miles. The data was used in the development of the bare earth elevation datasets.

The Optech ALTM GEMINI LIDAR system was used for data collection. The LIDAR system was calibrated by conducting flight passes over an airport runway. The calibration parameters were inserted into the post-processing software to eliminate IMU errors.

The acquired LIDAR data was processed to obtain four-return point data. The LIDAR data was further filtered to yield a LIDAR surface representing the bare earth. This bare earth dataset was then used to generate TINs and 10 feet DEMs along with breaklines.

This report summarizes the methods used to establish ground control points, perform the LIDAR data collection, post-processing, as well as the results of these methods.

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## **INTRODUCTION**

This document is the technical write-up of the Central Coast of California LIDAR mission. It includes LIDAR system calibration techniques, the establishment of the control points, and the collection and post-processing of the LIDAR data.

### **Contact Information**

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### **Purpose of the LIDAR Acquisition**

The LIDAR operation was designed to provide a highly detailed ground surface dataset to be used for the development of topographic, contour mapping, and hydraulic modeling. Oceanographic, agricultural, and atmospheric research facilities, etc. will directly benefit from the LIDAR and elevation data sets produced from this project.

### **Project Location**

Central Coast of California including areas of Monterey, San Benito and Santa Cruz Counties. Figure 1 shows the project area's extent.

### **Project Scope and Specifications**

The LIDAR mission required the collection of 1,713 square miles of data. The project was flown in multiple dates. The final LIDAR product is within vertical accuracy of +/- 1.2 foot at the 95% confidence level which meets base LIDAR specification for projects funded under the American Recovery and Reinvestment Act of 2009 U.S. Geological Survey Program Announcement 10HQPA0014.

## Survey Report

A survey crew was sent to the project area and physically set eighty six (86) control points using a 60D spike in the natural ground areas and a PK Nail and flasher in pavement areas. The approximate latitude and longitude for these points were provided by Digital Mapping, Inc.

All aerial targets are semi-permanent in nature and properly documented in the description chart in Tables 1 through 3. The targets are "X's" in style with legs measuring 6" wide x 4' long. The center of each target marks the location of the control point Figure 1 shows the location of the ground control points.

The ground control points have the following parameters.

|                          |   |
|--------------------------|---|
| <b>Coordinate System</b> | State Plane California<br>Zone 3 and Zone 4 |
| <b>Units</b>             | Survey Feet                                 |
| <b>Horizontal Datum</b>  | NAD83                                       |
| <b>Vertical Datum</b>    | NAVD88                                      |
| <b>Ellipsoid Model</b>   | GRS1980                                     |
| <b>Geoid Model</b>       | GEOID03                                     |

**Table 1:** Projection Specifications

The survey crew went into the field and set targets at designated positions as shown in Figure 1 and Tables 2 and 3. After the target was set, the crew occupied the points for 15 minutes using Leica 1200 GPS Receivers and the Leica Smart Rover. Information gathered was stored and uploaded later at the main office. These locations were then used for geo-referencing the LIDAR vertical projection.

Control Points were set for Monterey, Carmel, and the Salinas Valley Region at State Plane Zone 4. The only region that was set apart from this was the Santa Cruz block of control points, which were set at Zone 3.

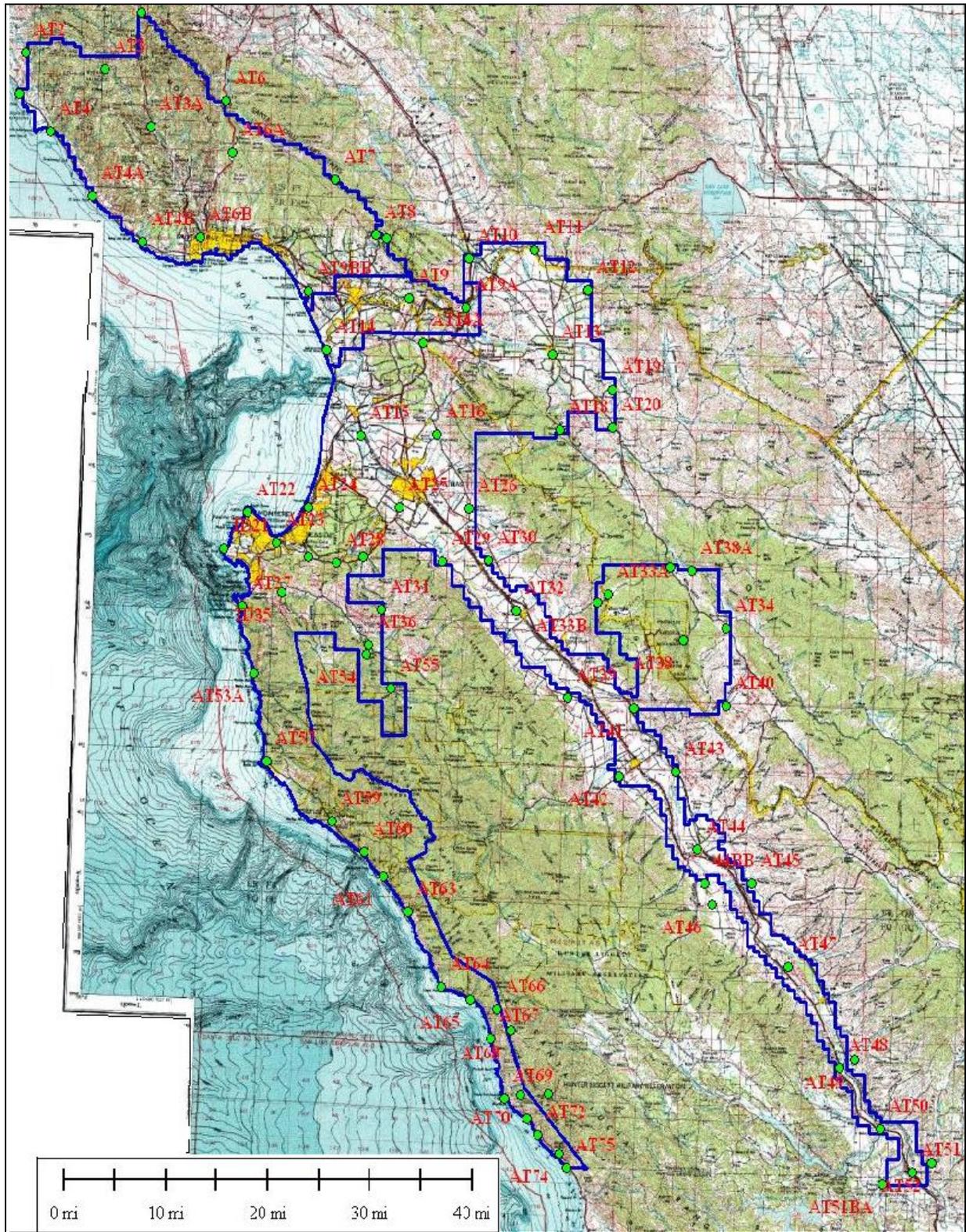


Figure 1: Project Limit and Control Layout

| <b>POINTS</b> | <b>NORTHING</b> | <b>EASTING</b> | <b>ELEVATION</b> | <b>DESCRIPTION</b>     |
|---------------|-----------------|----------------|------------------|------------------------|
| AT 13         | 2199992.8074    | 5858861.6603   | 291.5733         | FD. "X" IN SIDEWALK    |
| AT 15         | 2161689.1559    | 5760108.4500   | 20.0089          | SET 60D SPIKE          |
| AT 16         | 2162250.7678    | 5799076.3217   | 177.7031         | SET 60D SPIKE          |
| AT 18         | 2164840.3125    | 5862955.2549   | 1075.4418        | SET PK NAIL            |
| AT 19         | 2183385.5648    | 5889863.0216   | 848.1776         | SET PK NAIL            |
| AT 20         | 2165881.4131    | 5890144.0604   | 570.1304         | SET 60D SPIKE          |
| ID 21         | 2108930.4791    | 5689242.7153   | 21.7069          | 18" X 18" DRAIN GRATE  |
| AT 22         | 2126122.0142    | 5701315.7027   | 77.1367          | SET PK NAIL            |
| AT 23         | 2111732.1490    | 5716218.4681   | 42.8934          | SET 60D SPIKE          |
| AT 24         | 2128139.3162    | 5732765.4877   | 118.1036         | SET 60D SPIKE          |
| AT 25         | 2127848.5687    | 5779976.3873   | 50.8782          | SET 60D SPIKE          |
| AT 26         | 2127317.9881    | 5815883.0777   | 135.7505         | SET 60D SPIKE          |
| AT 27         | 2081403.5137    | 5698701.3162   | 129.6852         | SET 60D SPIKE          |
| AT 28         | 2101967.6230    | 5747063.0339   | 369.8018         | SET 60D SPIKE          |
| AT 29         | 2102666.4415    | 5802349.1100   | 97.9742          | SET 60D SPIKE          |
| AT 30         | 2103241.5674    | 5825947.8404   | 183.9864         | SET 60D SPIKE          |
| AT 31         | 2080178.7095    | 5770598.9611   | 1090.9846        | SET 60D SPIKE          |
| AT 32         | 2079401.6811    | 5840498.3561   | 122.8953         | SET 60D SPIKE          |
| AT 34 A       | 2071186.8841    | 5948987.4181   | 1456.9905        | SET 60D SPIKE          |
| AT 34 B       | 2099853.5262    | 5919842.2369   | 1446.5677        | SET 60D SPIKE          |
| ID 35         | 2088289.3185    | 5719280.9775   | 92.5054          |                        |
| AT 36         | 2063366.5834    | 5763937.6830   | 409.4034         | SET 60D SPIKE          |
| AT 38         | 2065639.0472    | 5926773.0851   | 984.3355         | SET 60D SPIKE          |
| AT 39         | 2038589.3250    | 5867050.3316   | 171.1383         | SET 60D SPIKE          |
| AT 40         | 2034757.4772    | 5948623.7126   | 967.9926         | SET 60D SPIKE          |
| AT 41         | 2033321.2941    | 5900951.5104   | 316.8689         | SET 60D SPIKE          |
| AT 42         | 2001111.7607    | 5893349.1330   | 334.7734         | SET 60D SPIKE          |
| AT 43         | 2003566.3144    | 5922755.5470   | 256.3294         | SET 60D SPIKE          |
| AT 44         | 1966866.9242    | 5933575.9488   | 328.9584         | SET PK NAIL            |
| AT 45         | 1951130.7199    | 5962015.9653   | 446.1401         | SET PK NAIL            |
| AT 46         | 1940762.2942    | 5941618.8940   | 448.7431         | SET 60D SPIKE          |
| AT 47         | 1911832.4685    | 5980606.9128   | 541.3521         | SET 60D SPIKE          |
| AT 48         | 1864116.8465    | 6007600.7475   | 535.4057         | SET 60D SPIKE          |
| AT 49         | 1868189.0831    | 6015378.4741   | 635.2791         | SET 60D SPIKE          |
| AT 50         | 1835245.3951    | 6028451.9719   | 534.5067         |                        |
| AT 51         | 1814854.2743    | 6045032.7043   | 611.4281         | SET 60D SPIKE          |
| AT 52         | 1819213.4828    | 6054805.5560   | 681.5982         | SET PK NAIL            |
| AT 54         | 2059075.3414    | 5762937.4956   | 466.3557         | SET PK NAIL            |
| AT 55         | 2043034.6442    | 5775817.5240   | 821.0355         | SET 60D SPIKE          |
| AT 57         | 2008607.0495    | 5711412.5406   | 145.4202         | SET PK NAIL            |
| AT 59         | 1980581.7162    | 5745325.8582   | 763.7292         | SET 60D SPIKE          |
| AT 60         | 1966004.1348    | 5761665.1322   | 544.0905         | 24" X 24" DRAIN GRATE  |
| AT 61         | 1954174.3390    | 5771849.2589   | 372.6931         | SET 60D SPIKE          |
| AT 63         | 1937868.8163    | 5784479.6488   | 359.1325         | SET 60D SPIKE          |
| AT 64         | 1902081.3688    | 5801446.0566   | 234.5986         | SET 60D SPIKE          |
| AT 65         | 1896375.9852    | 5816342.6641   | 107.4073         | FD. PK NAIL AND TARGET |
| AT 66         | 1891855.0714    | 5830390.7102   | 1810.3084        | SET 60D SPIKE          |
| AT 67         | 1877902.9944    | 5826985.9280   | 204.3916         | SET 60D SPIKE          |

|               |                 |                |                  |                              |
|---------------|-----------------|----------------|------------------|------------------------------|
| AT 68         | 1881557.7372    | 5837393.0779   | 3324.4866        | SET 60D SPIKE                |
| AT 69         | 1849644.1563    | 5834399.1604   | 294.8938         | SET 60D SPIKE                |
| <b>POINTS</b> | <b>NORTHING</b> | <b>EASTING</b> | <b>ELEVATION</b> | <b>DESCRIPTION</b>           |
| AT 70         | 1851360.2954    | 5842321.4935   | 2016.3277        | SET 60D SPIKE                |
| AT 71         | 1840475.7393    | 5845706.1485   | 57.6277          | SET 60D SPIKE                |
| AT 72         | 1832513.5908    | 5851399.0748   | 487.8411         | SET 60D SPIKE                |
| AT 74         | 1823487.7956    | 5862275.7428   | 349.4572         | SET 60D SPIKE                |
| AT 75         | 1817311.3863    | 5866086.9012   | 501.3924         | SET 60D SPIKE                |
| AT 28AA       | 2105060.1500    | 5761223.6610   | 290.7212         | SET 60D SPIKE                |
| AT 28BB       | 2104883.8580    | 5732934.0460   | 138.4938         | FOUND GEAR SPIKE AND TARGET  |
| AT 53A        | 2049986.3360    | 5704955.1580   | 109.5536         | SET PK NAIL                  |
| AT 73A        | 1852107.2540    | 5856770.5470   | 3233.878         | SET 60D SPIKE                |
| 44BB          | 1950867.7880    | 5937639.4900   | 383.4146         | SET 60D SPIKE                |
| AT 14A        | 2205548.2100    | 5792269.4330   | 160.5837         | SET PK NAIL                  |
| AT 33A        | 2087385.0080    | 5887974.0020   | 2305.8484        | SET 60D SPIKE                |
| AT 33B        | 2083032.4910    | 5882640.6330   | 1694.6817        | SET 60D SPIKE                |
| AT 38A        | 2097972.2710    | 5930895.5430   | 1132.4288        | SET 60D SPIKE                |
| AT 51 B       | 1809269.6280    | 6029735.6480   | 565.4321         | SET 60D SPIKE                |
| AT 9A         | 2222424.4220    | 5814132.6030   | 179.7746         | FOUND VERTICAL CONTROL G1236 |

**Table 2:** Control Points in California Zone 4

| <b>POINT</b> | <b>NORTHING</b> | <b>EASTING</b> | <b>ELEVATION</b> | <b>DESCRIPTION</b>   |
|--------------|-----------------|----------------|------------------|----------------------|
| AT 1         | 1886680.5789    | 6020218.1353   | 50.4591          | SET 60D SPIKE        |
| AT 2         | 1905847.3735    | 6023735.8991   | 132.2452         | SET PK NAIL          |
| AT 3         | 1898850.7148    | 6064455.3640   | 1617.0356        | SET 60D SPIKE        |
| AT 4         | 1869089.0604    | 6036510.9433   | 98.6204          | SET PK NAIL          |
| AT 5         | 1925589.3086    | 6082801.3511   | 2558.2396        | SET 60D SPIKE        |
| AT 6         | 1885002.6208    | 6127159.7993   | 1186.1125        | SET PK NAIL          |
| AT 7         | 1848351.7207    | 6184421.0196   | 2704.8345        | SET 60D SPIKE        |
| AT 8         | 1822774.6114    | 6205805.0475   | 1316.8949        | SET PK NAIL          |
| AT 9         | 1793425.8549    | 6223433.2290   | 72.8551          | SET PK NAIL          |
| AT 10        | 1812810.5489    | 6254059.1454   | 179.4433         | SET 60D SPIKE        |
| AT 11        | 1817044.6179    | 6287500.1384   | 163.6716         | SET 60D SPIKE        |
| AT 12        | 1798299.7109    | 6315723.8196   | 442.5504         | SET 60D SPIKE        |
| AT 14        | 1768017.8435    | 6181052.8169   | 9.4954           | SET 60D SPIKE        |
| AT 3A        | 1872287.1610    | 6088696.6580   | 493.0011         | SET PK NAIL          |
| AT 4A        | 1839032.3100    | 6058735.2780   | 102.2669         | SET PK NAIL          |
| AT 4B        | 1817551.1220    | 6085168.3250   | 107.7852         | SET PK NAIL          |
| AT 6A        | 1860491.9190    | 6131034.2310   | 1276.3787        | CENTER BRICK PAVINGS |
| AT 6B        | 1820598.4750    | 6115228.8680   | 34.8553          | SET PK NAIL          |
| AT 9BB       | 1795598.6780    | 6171478.5660   | 176.0628         | SET PK NAIL          |
| AT 6C        | 1821294.3920    | 6211043.5590   | 929.5027         | SET PK NAIL          |

**Table 3:** Control Points in California Zone 3

## LIDAR REPORT

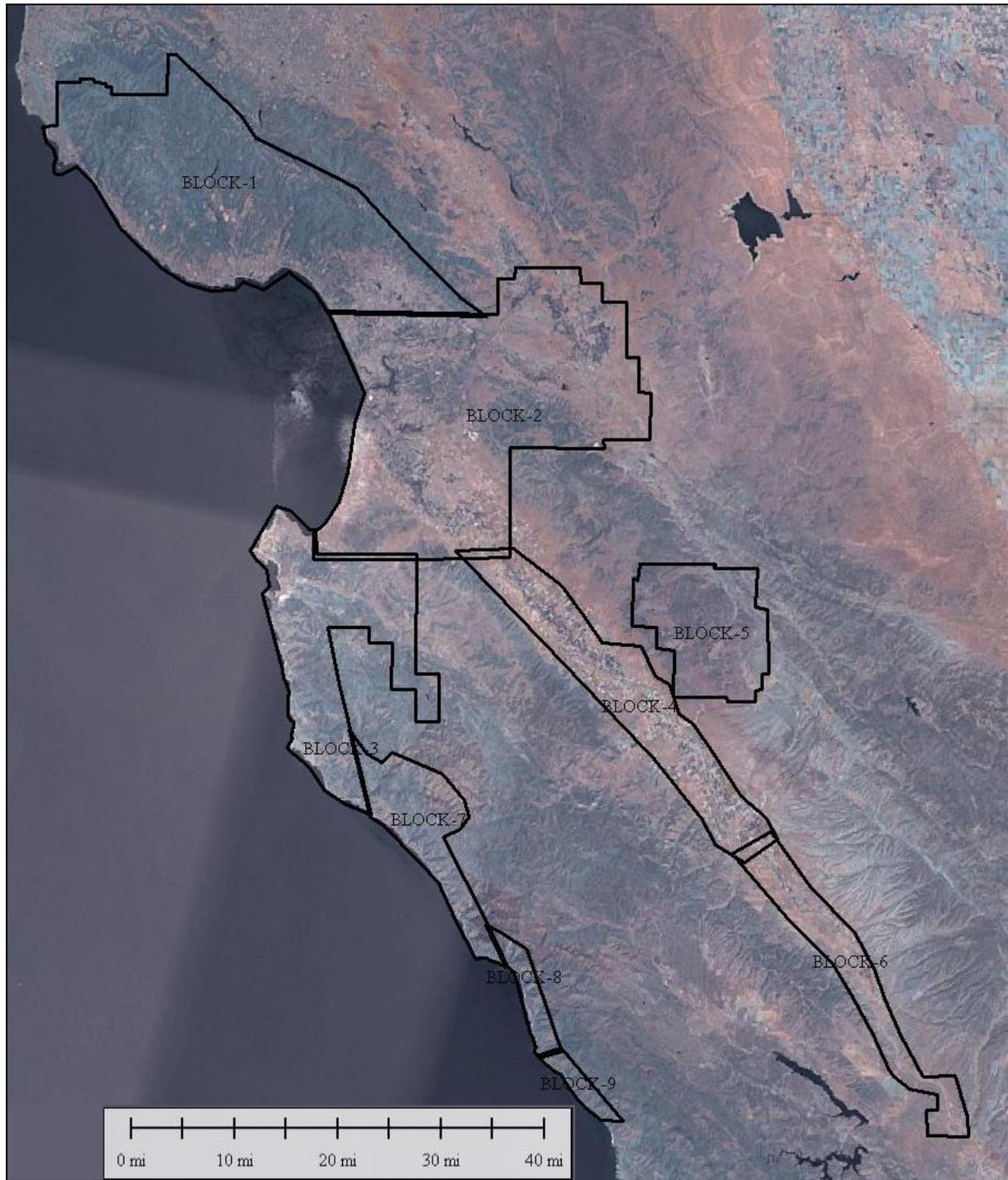
### Flight Mission

Due to irregular shape of the project area and the changing terrain heights, the project is divided into 9 blocks. All the blocks except Block1 are in State Plane Coordinate System California Zone 4. Block 1 is in State Plane Coordinate System California Zone 3 (Figure 2). The data capture campaign required an unobstructed view of the ground from the flying height (i.e. no fog or clouds) and relatively smooth air in which to fly.

Pre-flight checks such as cleaning the sensor head glass were performed. A five minute INS initialization was conducted on the ground, with the engines running, prior to flight, to establish fine-alignment of the INS.

The Optech "ALTM NAV" software was used to plan and navigate the aircraft in real time. The LIDAR system operator uses this comprehensive flight management system to see, among other things, real-time swath coverage so that any gaps or GPS quality issues can be resolved before landing or leaving the site. A careful record of every flight line, or strip, is taken on the airborne log sheets in a digital form. Start and stop time, system parameters, and system observables represent some of the information recorded in these logs. During the data collection, the operator also recorded information on paper log sheets, which include weather conditions and flight line statistics. Following every flight, the LIDAR and GPS data were downloaded and initial post-processing began immediately.

The mission was flown in multiple days. Two base stations were set up for each mission. Both of them were within the project area.



**Figure 2:** Block Layout



Figure 3: Block 1—Santa Cruz

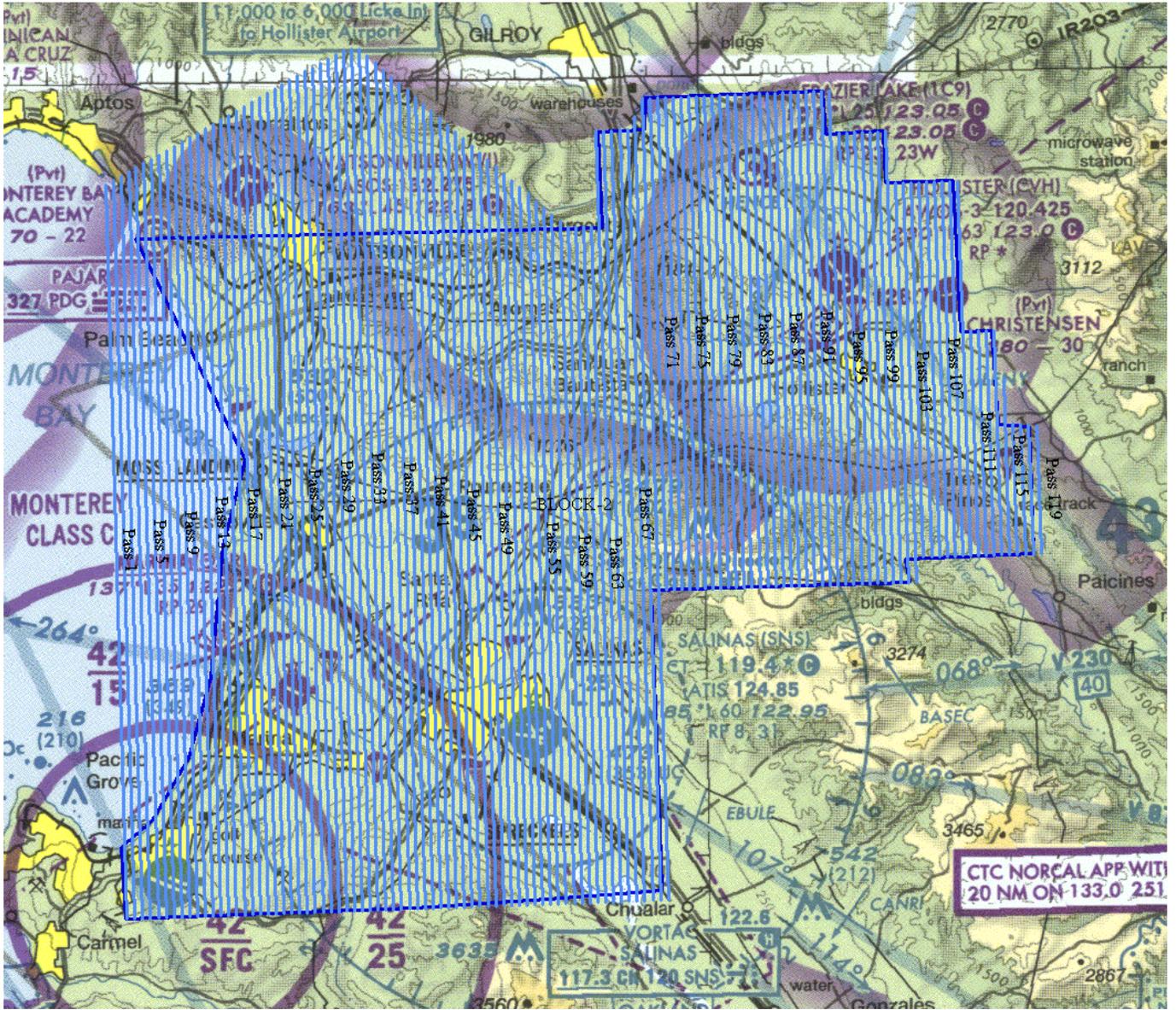


Figure 4: Block 2—Monterey - Salinas



Figure 5: Block 3–Carmel

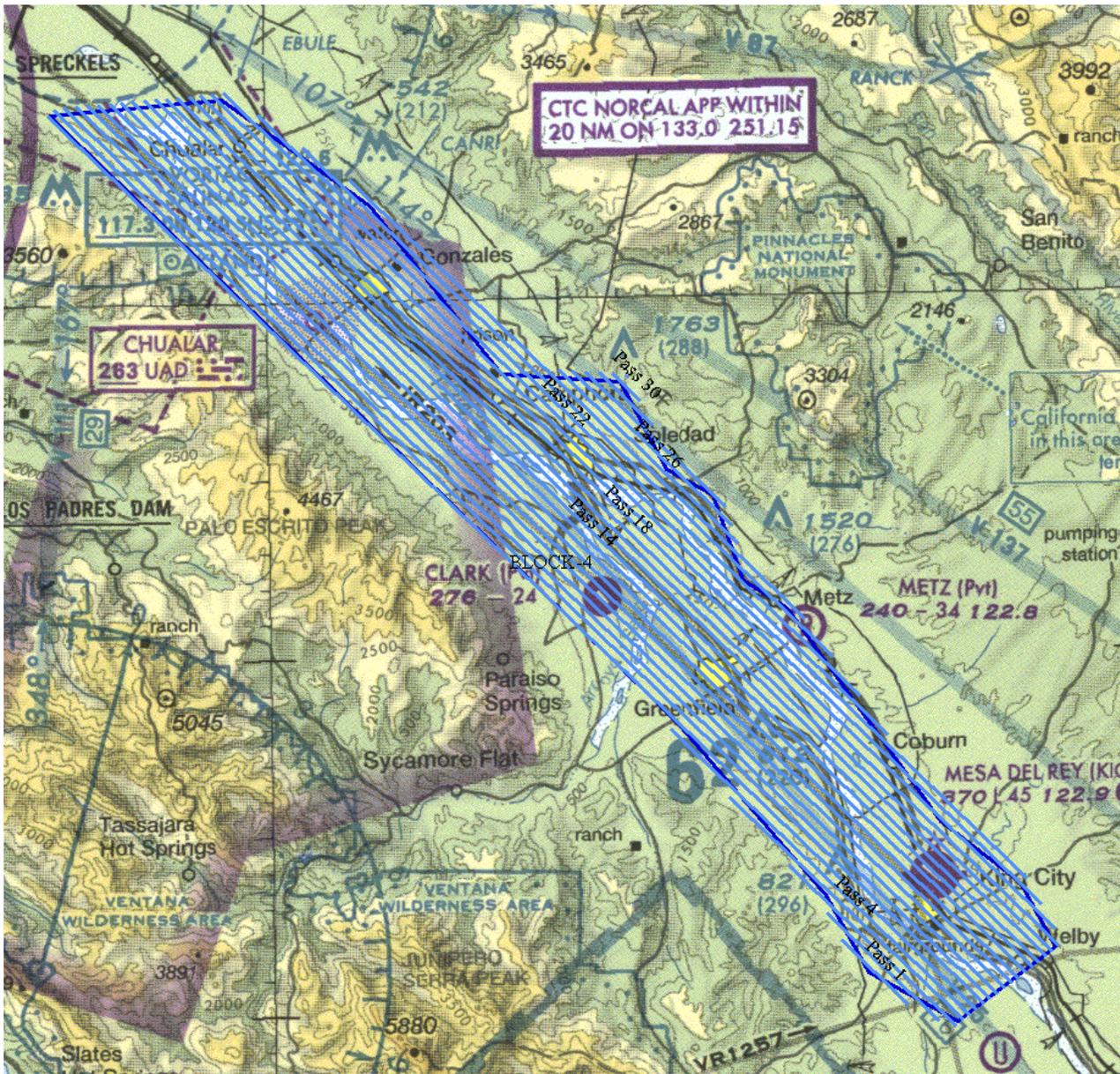
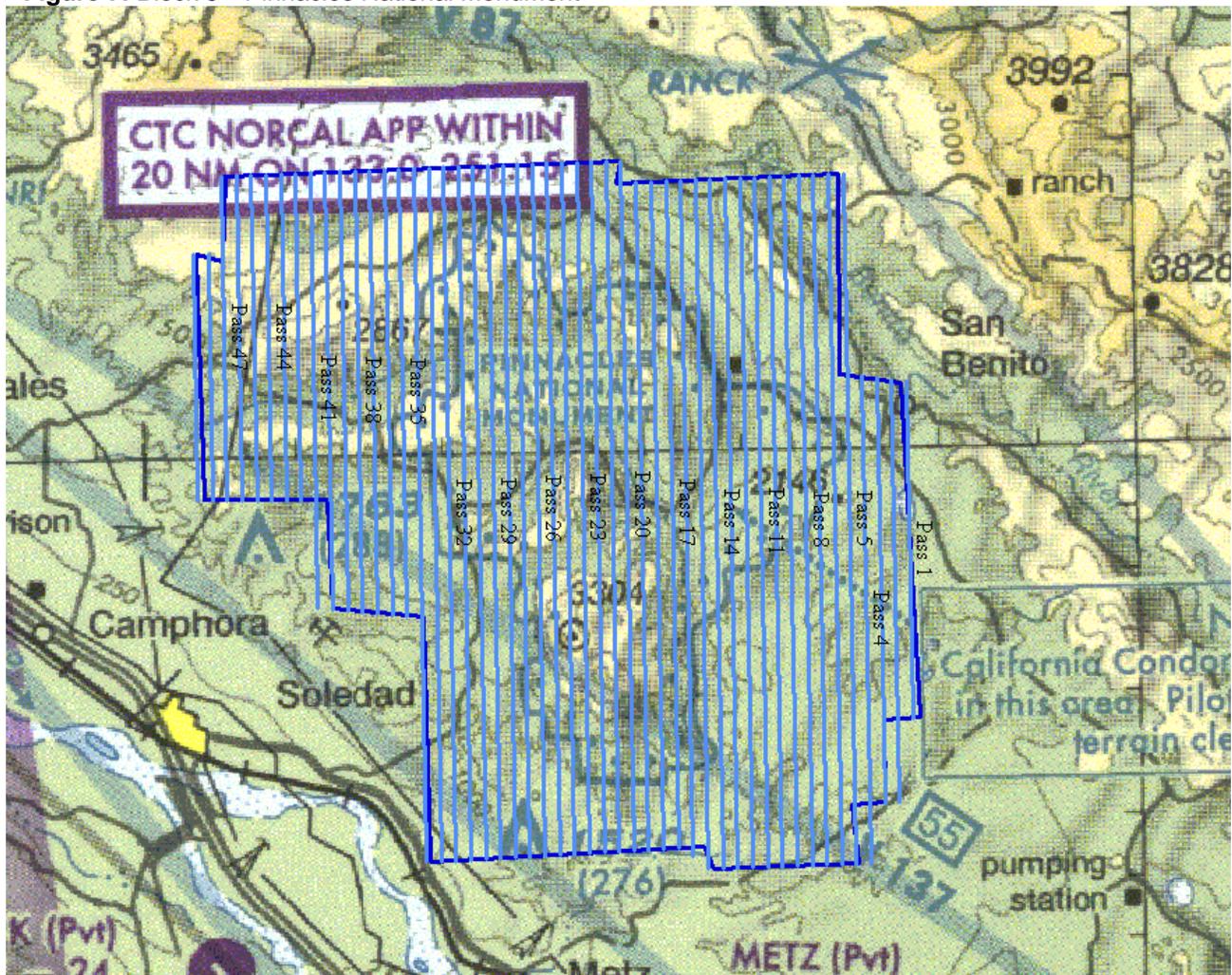


Figure 6: Block 4—Lower Salinas River – King City

Figure 7: Block 5—Pinnacles National Monument



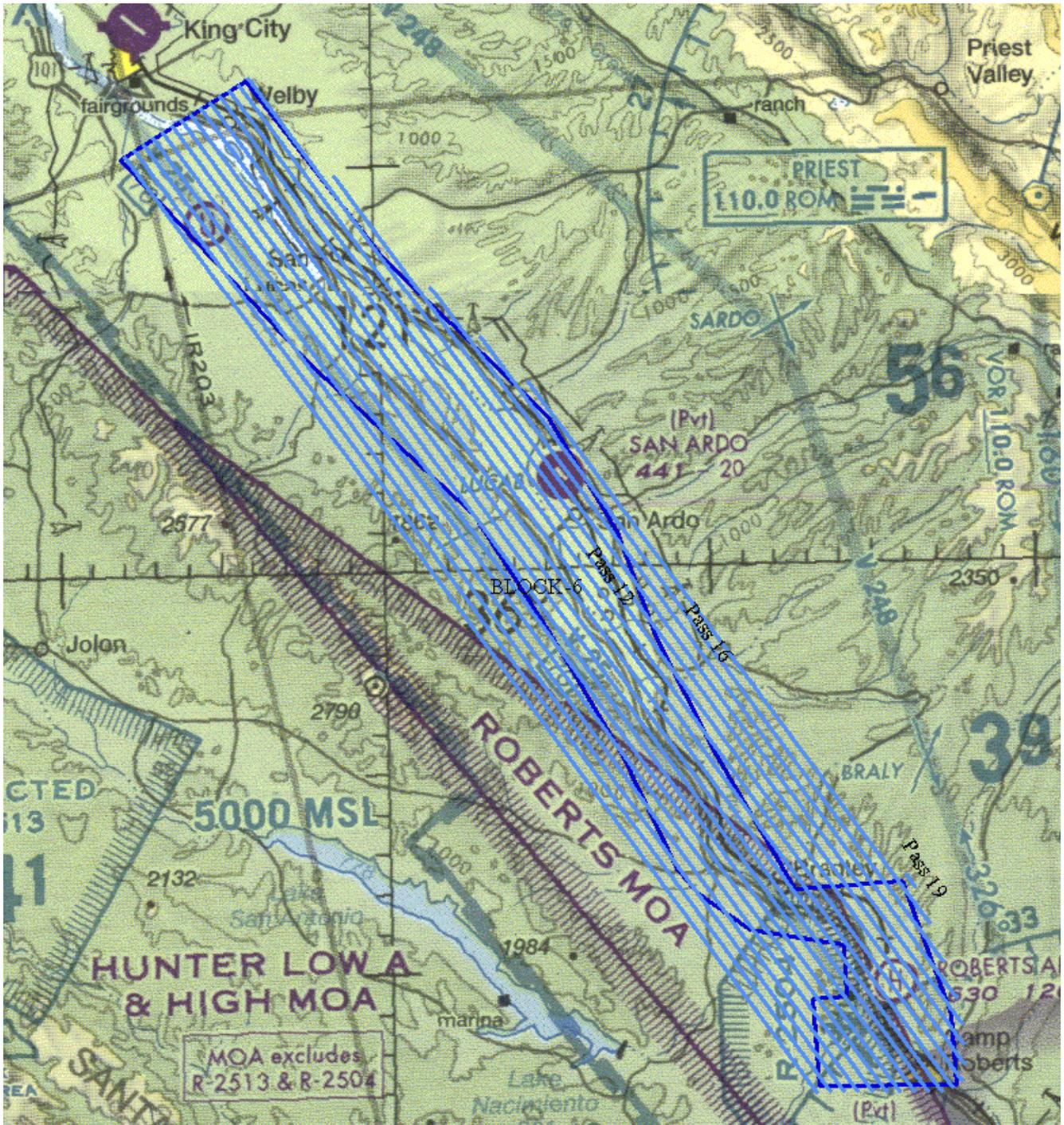


Figure 8: Block 6—Upper Salinas River – Camp Roberts

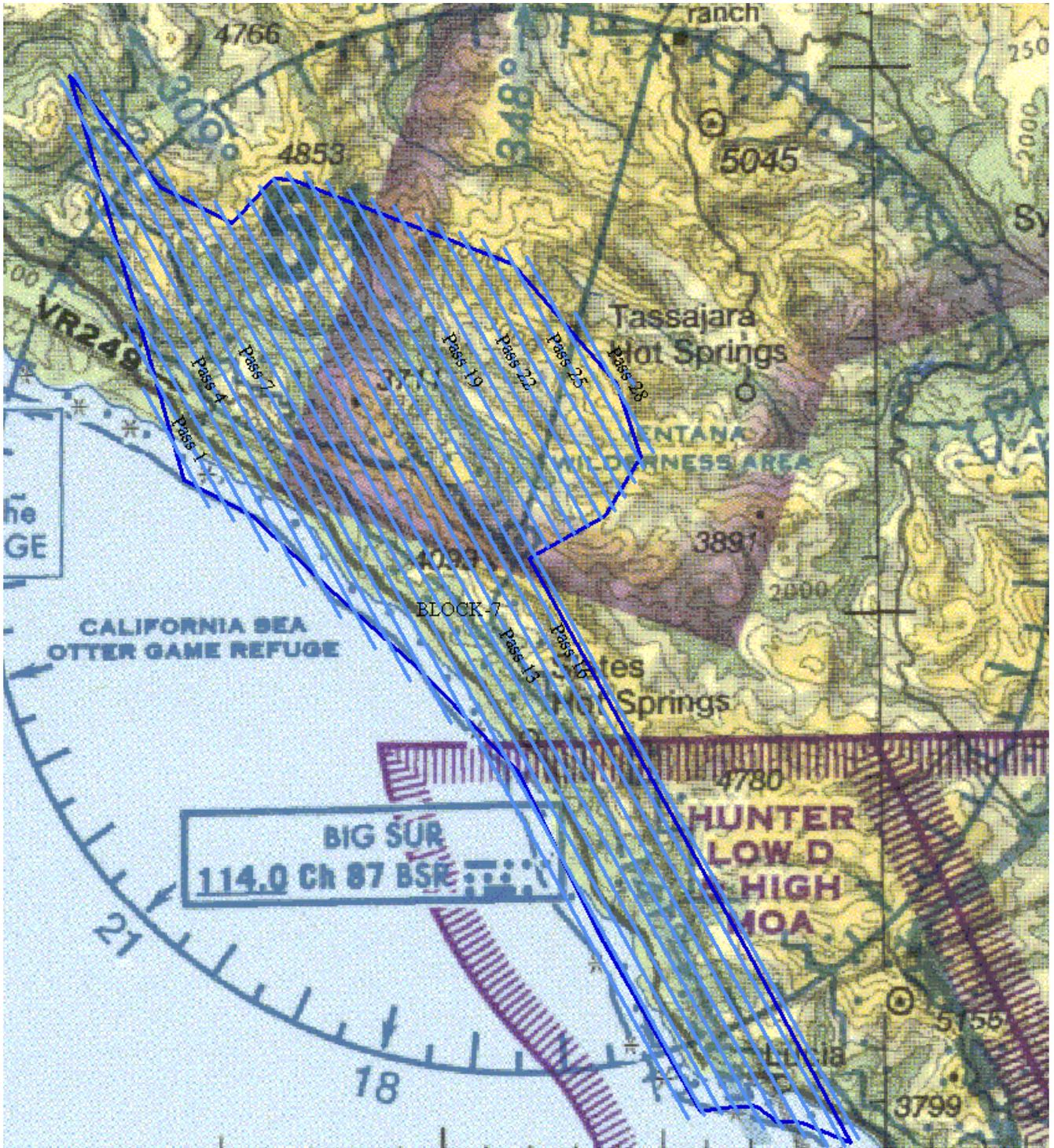


Figure 9: Block 7—Big Sur

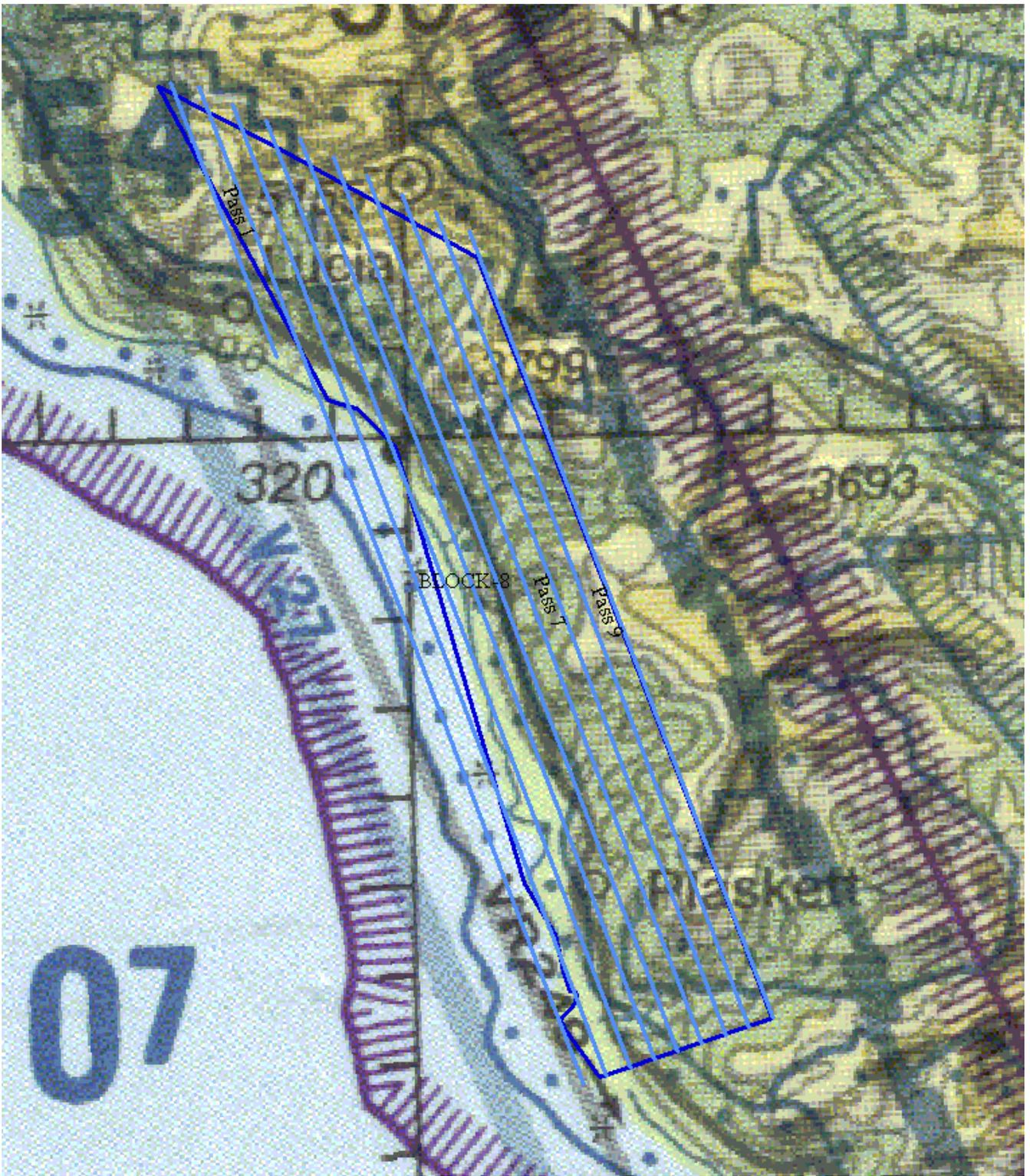


Figure 10: Block 8—St. Lucia - Plaskett



Figure 11: Block 9—Ventana – Silver Peak

| <u>mission</u> | <u>flight_date</u> | <u>Job #</u> | <u>block #</u> | <u>system<br/>_prf</u> | <u>Scan<br/>Freq</u> | <u>Scan<br/>Angle</u> | <u>Scan<br/>Cutoff</u> | <u>Flight<br/>Height<br/>(sf)</u> | <u>Aircraft<br/>Speed</u> |
|----------------|--------------------|--------------|----------------|------------------------|----------------------|-----------------------|------------------------|-----------------------------------|---------------------------|
| 0817           | 8/17/2010          | dmi10075     | 6              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0818am         | 8/18/2010          | dmi10075     | 6              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0818pm         | 8/18/2010          | dmi10075     | 4              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0819am         | 8/19/2010          | dmi10075     | 4              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0819pm         | 8/19/2010          | dmi10075     | 4&5            | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0820am         | 8/20/2010          | dmi10075     | 5              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0820pm         | 8/20/2010          | dmi10075     | 5              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0821           | 8/21/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0823           | 8/23/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0824am         | 8/24/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0824pm         | 8/24/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0825am         | 8/25/2010          | dmi10075     | 3              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0825pm         | 8/25/2010          | dmi10075     | 3              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0826           | 8/26/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0827am         | 8/27/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0827pm         | 8/27/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0831am         | 8/31/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0831pm         | 8/31/2010          | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0901am         | 9/1/2010           | dmi10075     | 3              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0901pm         | 9/1/2010           | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0901pm2        | 9/1/2010           | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0902am         | 9/2/2010           | dmi10075     | 3              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0902pm         | 9/2/2010           | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0903           | 9/3/2010           | dmi10075     | 2              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0912           | 9/12/2010          | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0913           | 9/13/2010          | dmi10075     | 7              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0914am         | 9/14/2010          | dmi10075     | 7              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0914pm         | 9/14/2010          | dmi10075     | 8&9            | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0915am         | 9/15/2010          | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0915pm         | 9/15/2010          | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0916am         | 9/16/2010          | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 0916pm         | 9/16/2010          | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 4000                              | 120 kts                   |
| 1117pm         | 11/17/2010         | dmi10075     | 8              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 5000                              | 120 kts                   |
| 0117pm         | 01/17/2011         | dmi10075     | 7              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 5000                              | 120 kts                   |
| 0119pm         | 01/19/2011         | dmi10075     | 1              | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 5000                              | 120 kts                   |
| 0120am         | 01/20/2011         | dmi10075     | 2,3,7,8,9      | 100 kHz                | 40 Hz                | +/- 25°               | 5°                     | 5000                              | 120 kts                   |

**Table 4:** Flight Dates and Acquisition Parameters

## LIDAR Calibration

LIDAR calibration is performed to determine and eliminate systematic biases that occur within the hardware of the OPTECH GEMINI system. Once the biases are determined, they can be modeled out. The corrected systematic biases include scale, roll, pitch, and heading error. Calibration procedures are intended to prevent operational errors in the field and office work and are designed to detect inconsistencies.

### Calibration Procedures

Three passes were flown over the airport runway. Calibration parameters were computed with previous calibration runs. If there was any change, the new values were entered into the LIDAR post-processing software before the final data post-processing was completed.

## Data Processing

The range files, flight logs, raw airborne and ground GPS files were taken to the office for data processing. Real time GPS data from the aircraft were used to check for coverage throughout the project area.

The airborne GPS data was processed from two base stations using POSGPS from Applanix, Inc. The inertial data was processed using POSProc from Applanix, Inc. This software produces an SBET (Smooth Best Estimate of Trajectory) using the GPS trajectory from POSGPS and the roll, pitch, and heading information recorded by the POS (Position Orientation System).

DASHMAP uses the SBET to generate a set of data points for each laser return in the LAS file format. Each data point is assigned an echo value so it can be segregated based on the first and last pulse information. This project's data was processed in strip form, meaning each flight line was processed independently. Processing the lines individually provides the data analyst with the ability to QC the overlap between flight lines.

After the LIDAR data was outputted to the LAS files per strip, the LIDAR processing steps were organized using GeoCue software. In GeoCue the coordinate and datum transformations were applied to the data set to reflect the required deliverable projection, coordinate, and datum systems as provided in the contract. LIDAR filtering was accomplished using TerraScan software. The filtering process reclassifies all the data into classes within the LAS formatted file based scheme using the LAS format 1.2 specifications. Table 5 lists the classification used for this project.

| Code | Description   |
|------|---|
| 1    | Processed, but unclassified                         |
| 2    | Bare-earth ground                                   |
| 7    | Noise (low or high, manually identified, if needed) |
| 9    | Water   |
| 10   | Ignored Ground (Breakline Proximity)                |

**Table 5:** LIDAR Point Classes

After the classification, the entire dataset was reviewed and manually edited for anomalies that were outside the required guidelines of the product specifications. Man-made structures were removed from bare earth data including bridges. The final bare earth product was verified to meet the accuracy requirements for the job.

## LIDAR Checkpoint Vertical Accuracy Assessment

The vertical accuracy of the LIDAR data for this project was evaluated by a set of 124 surveyed check points. In Zone 4, there were 104 check points set in six various ground cover categories. In Zone 3, there were 20 check points set in four categories.

This vertical accuracy was tested using the GPS results from the checkpoints with the LIDAR results to find the distance of Z score. This is the average level of error present in the dataset in survey feet.

The results of a consolidated  $RMSE_z$  came out to a 0.232 for Zone 3. Zone 4 had a consolidated  $RMSE_z$  of 0.278. All other surface cover groupings for FVA and SVA were within the 0.492 margin of error in survey feet, or as NSSDA  $RMSE_z = 15$  cm. All check points showed a vertical accuracy level well within the 95<sup>th</sup> percentile margin of error. The NSSDA Accuracy<sub>z</sub> mark of  $RMSE_z \times 1.96$  for the Zone 4 Monterey area and southern hinterland showed a Consolidated Score of 0.545. This comes to about 16.6 cm, which goes above the project requirement of a minimum of 30 cm by a fair margin. The NSSDA Accuracy<sub>z</sub> mark for the Zone 3 Santa Cruz area showed a Consolidated Score of 0.455, which is about 14 cm; also well beyond the project requirement for 95% vertical accuracy.

|                        |        |
|------------------------|--------|
| Average dz             | 0.098  |
| Root Mean Square Error | 0.278  |
| minimum dz             | -0.683 |
| maximum dz             | 0.648  |
| Standard Deviation     | 0.261  |

**Table 6:** Consolidated Vertical Accuracy (CVA) Result for Zone 4

|                        |        |
|------------------------|--------|
| Average dz             | 0.233  |
| Root Mean Square Error | 0.305  |
| Minimum dz             | -0.258 |
| Maximum dz             | 0.479  |
| Std deviation          | 0.201  |

**Table 7:** Fundamental Vertical Accuracy (FVA) Result for Zone 4

|                        |        |
|------------------------|--------|
| Average dz             | 0.051  |
| Root Mean Square Error | 0.268  |
| Minimum dz             | -0.683 |
| Maximum dz             | 0.648  |
| Std deviation          | 0.265  |

**Table 8:** Supplemental Vertical Accuracy (SVA) Result for Zone 4

| Number | Easting | Northing | KnownZ  | LaserZ | Dz    | Surface Type |
|--------|---------|----------|---------|--------|-------|--------------|
| z4-1   | 5747261 | 2103059  | 399.582 | 400.23 | 0.648 | BRUSHLAND    |
| z4-2   | 5804532 | 2132567  | 94.141  | 94.62  | 0.479 | BARE GROUND  |
| z4-3   | 5803364 | 2131893  | 91.061  | 91.54  | 0.479 | BARE GROUND  |
| z4-4   | 5747236 | 2102948  | 395.622 | 396.08 | 0.458 | BRUSHLAND    |
| z4-5   | 5803655 | 2131987  | 90.771  | 91.19  | 0.419 | BARE GROUND  |
| z4-6   | 5803183 | 2132158  | 92.121  | 92.51  | 0.389 | BARE GROUND  |
| z4-7   | 5804098 | 2132278  | 92.431  | 92.79  | 0.359 | BARE GROUND  |
| z4-8   | 5803243 | 2132067  | 91.831  | 92.19  | 0.359 | BARE GROUND  |
| z4-9   | 5803118 | 2132254  | 92.601  | 92.96  | 0.359 | BARE GROUND  |
| z4-10  | 5804449 | 2132511  | 93.791  | 94.14  | 0.349 | BARE GROUND  |
| z4-11  | 5803925 | 2132163  | 91.621  | 91.97  | 0.349 | BARE GROUND  |
| z4-12  | 5803304 | 2131978  | 91.531  | 91.87  | 0.339 | BARE GROUND  |
| z4-13  | 5803840 | 2132107  | 91.291  | 91.62  | 0.329 | BARE GROUND  |
| Number | Easting | Northing | KnownZ  | LaserZ | Dz    | Surface Type |
| z4-14  | 5803005 | 2132423  | 93.151  | 93.48  | 0.329 | BARE GROUND  |
| z4-15  | 5803595 | 2131949  | 91.401  | 91.72  | 0.319 | BARE GROUND  |
| z4-16  | 5746703 | 2102497  | 384.072 | 384.38 | 0.308 | BRUSHLAND    |

|               |                |                 |               |               |           |                     |
|---------------|----------------|-----------------|---------------|---------------|-----------|---------------------|
| z4-17         | 5747299        | 2102587         | 386.722       | 387.02        | 0.298     | TREE                |
| z4-18         | 5804186        | 2132337         | 92.641        | 92.91         | 0.269     | BARE GROUND         |
| z4-19         | 5804274        | 2132395         | 93.391        | 93.65         | 0.259     | BARE GROUND         |
| z4-20         | 5746990        | 2102431         | 388.972       | 389.21        | 0.238     | LOW GRASS           |
| z4-21         | 5747090        | 2102783         | 391.152       | 391.39        | 0.238     | LOW GRASS           |
| z4-22         | 5804011        | 2132220         | 92.091        | 92.32         | 0.229     | BARE GROUND         |
| z4-23         | 5804704        | 2132680         | 95.521        | 95.75         | 0.229     | BARE GROUND         |
| z4-24         | 5803746        | 2132046         | 91.181        | 91.41         | 0.229     | BARE GROUND         |
| z4-25         | 5746957        | 2102347         | 391.242       | 391.45        | 0.208     | LOW GRASS           |
| z4-26         | 5746952        | 2102646         | 388.922       | 389.11        | 0.188     | LOW GRASS           |
| z4-27         | 5802945        | 2132507         | 93.571        | 93.74         | 0.169     | BARE GROUND         |
| z4-28         | 5747038        | 2102696         | 389.822       | 389.97        | 0.148     | LOW GRASS           |
| z4-29         | 5747200        | 2102840         | 394.692       | 394.82        | 0.128     | LOW GRASS           |
| z4-30         | 5802943        | 2132536         | 94.098        | 94.22         | 0.122     | BARE GROUND         |
| z4-31         | 5747023        | 2102381         | 391.282       | 391.39        | 0.108     | LOW GRASS           |
| z4-32         | 5747028        | 2102597         | 389.212       | 389.32        | 0.108     | LOW GRASS           |
| z4-33         | 5746874        | 2102296         | 391.902       | 392.01        | 0.108     | LOW GRASS           |
| z4-34         | 5804618        | 2132623         | 94.871        | 94.97         | 0.099     | BARE GROUND         |
| z4-35         | 5746958        | 2102501         | 388.642       | 388.71        | 0.068     | LOW GRASS           |
| z4-36         | 5747110        | 2102373         | 380.132       | 380.2         | 0.068     | PAVEMENT            |
| z4-37         | 5747150        | 2102741         | 392.122       | 392.18        | 0.058     | LOW GRASS           |
| z4-38         | 5746847        | 2102440         | 387.082       | 387.11        | 0.028     | LOW GRASS           |
| z4-39         | 5747172        | 2102445         | 382.842       | 382.87        | 0.028     | PAVEMENT            |
| z4-40         | 5746919        | 2102229         | 375.272       | 375.3         | 0.028     | PAVEMENT            |
| z4-41         | 5746787        | 2102241         | 388.292       | 388.31        | 0.018     | LOW GRASS           |
| z4-42         | 5747137        | 2102642         | 392.122       | 392.14        | 0.018     | LOW GRASS           |
| z4-43         | 5746761        | 2102400         | 381.112       | 381.13        | 0.018     | LOW GRASS           |
| z4-44         | 5746599        | 2102453         | 380.122       | 380.11        | -0.012    | BRUSHLAND           |
| z4-45         | 5747023        | 2102295         | 377.072       | 377.06        | -0.012    | PAVEMENT            |
| z4-46         | 5804364        | 2132453         | 93.481        | 93.43         | -0.051    | BARE GROUND         |
| z4-47         | 5747072        | 2102559         | 392.242       | 392.19        | -0.052    | LOW GRASS           |
| z4-48         | 5746629        | 2102379         | 374.442       | 374.39        | -0.052    | LOW GRASS           |
| z4-49         | 5746806        | 2102535         | 398.612       | 398.55        | -0.062    | LOW GRASS           |
| z4-50         | 5746853        | 2102602         | 396.982       | 396.9         | -0.082    | LOW GRASS           |
| z4-51         | 5746651        | 2102319         | 374.412       | 374.22        | -0.192    | LOW GRASS           |
| z4-52         | 5746810        | 2102162         | 372.355       | 372.12        | -0.235    | BARE GROUND         |
| z4-53         | 5747047        | 2102879         | 398.272       | 398.02        | -0.252    | TREE                |
| z4-54         | 5934209        | 1964484         | 319.704       | 319.99        | 0.286     | BARE GROUND         |
| z4-55         | 5933794        | 1964202         | 316.561       | 316.84        | 0.279     | BARE GROUND         |
| z4-56         | 5934568        | 1964706         | 321.044       | 321.49        | 0.446     | PAVEMENT URBAN AREA |
| z4-57         | 5934485        | 1964655         | 320.164       | 320.43        | 0.266     | PAVEMENT URBAN AREA |
| z4-58         | 5934406        | 1964606         | 320.204       | 320.69        | 0.486     | PAVEMENT URBAN AREA |
| z4-59         | 5934328        | 1964556         | 320.084       | 320.49        | 0.406     | PAVEMENT URBAN AREA |
| z4-60         | 5934254        | 1964510         | 319.914       | 320.28        | 0.366     | PAVEMENT URBAN AREA |
| z4-61         | 5934114        | 1964638         | 320.054       | 320.2         | 0.146     | TREE                |
| z4-62         | 5934234        | 1964463         | 320.324       | 320.87        | 0.546     | PAVEMENT URBAN AREA |
| <b>Number</b> | <b>Easting</b> | <b>Northing</b> | <b>KnownZ</b> | <b>LaserZ</b> | <b>Dz</b> | <b>Surface Type</b> |
| z4-63         | 5934287        | 1964379         | 319.954       | 319.95        | -0.004    | PAVEMENT URBAN AREA |

|        |         |         |         |        |        |                     |
|--------|---------|---------|---------|--------|--------|---------------------|
| z4-64  | 5934337 | 1964300 | 320.314 | 320.68 | 0.366  | PAVEMENT URBAN AREA |
| z4-65  | 5934383 | 1964216 | 318.134 | 318.51 | 0.376  | PAVEMENT URBAN AREA |
| z4-66  | 5934172 | 1964459 | 320.264 | 320.62 | 0.356  | PAVEMENT URBAN AREA |
| z4-67  | 5934087 | 1964406 | 319.884 | 320.12 | 0.236  | PAVEMENT URBAN AREA |
| z4-68  | 5934005 | 1964351 | 319.424 | 319.68 | 0.256  | PAVEMENT URBAN AREA |
| z4-69  | 5933922 | 1964292 | 318.344 | 318.76 | 0.416  | PAVEMENT URBAN AREA |
| z4-70  | 5933844 | 1964235 | 317.034 | 317.52 | 0.486  | PAVEMENT URBAN AREA |
| z4-71  | 6002036 | 1877500 | 437.015 | 437.32 | 0.305  | BRUSHLAND           |
| z4-72  | 6001682 | 1877247 | 440.995 | 441.25 | 0.255  | BRUSHLAND           |
| z4-73  | 6001773 | 1877201 | 441.105 | 441.29 | 0.185  | BRUSHLAND           |
| z4-74  | 6002149 | 1877178 | 437.925 | 438.05 | 0.125  | BRUSHLAND           |
| z4-75  | 6001976 | 1877095 | 436.955 | 437.05 | 0.095  | BRUSHLAND           |
| z4-76  | 6001473 | 1877447 | 444.015 | 444.07 | 0.055  | BRUSHLAND           |
| z4-77  | 6001356 | 1877618 | 443.905 | 443.9  | -0.005 | BRUSHLAND           |
| z4-78  | 6001602 | 1877313 | 442.335 | 442.3  | -0.035 | BRUSHLAND           |
| z4-79  | 6001858 | 1877139 | 441.085 | 441.03 | -0.055 | BRUSHLAND           |
| z4-80  | 6001416 | 1877535 | 444.475 | 444.41 | -0.065 | BRUSHLAND           |
| z4-81  | 6001832 | 1877850 | 436.105 | 436.02 | -0.085 | BRUSHLAND           |
| z4-82  | 6001919 | 1877673 | 436.515 | 436.43 | -0.085 | BRUSHLAND           |
| z4-83  | 6002087 | 1877412 | 437.085 | 436.98 | -0.105 | BRUSHLAND           |
| z4-84  | 6001294 | 1877705 | 444.545 | 444.43 | -0.115 | BRUSHLAND           |
| z4-85  | 6001979 | 1877588 | 437.805 | 437.65 | -0.155 | BRUSHLAND           |
| z4-86  | 6001769 | 1877940 | 436.415 | 436.25 | -0.165 | BRUSHLAND           |
| z4-87  | 6001893 | 1877767 | 436.605 | 436.42 | -0.185 | BRUSHLAND           |
| z4-88  | 6001493 | 1877115 | 466.685 | 466.5  | -0.185 | BARE GROUND         |
| z4-89  | 6002092 | 1876990 | 437.128 | 436.87 | -0.258 | BARE GROUND         |
| z4-90  | 6002072 | 1877055 | 436.665 | 436.35 | -0.315 | BRUSHLAND           |
| z4-91  | 6002107 | 1877290 | 438.985 | 438.67 | -0.315 | BRUSHLAND           |
| z4-92  | 6002134 | 1877077 | 437.185 | 436.82 | -0.365 | BRUSHLAND           |
| z4-93  | 5833002 | 1855353 | 188.553 | 189.05 | 0.497  | PAVEMENT            |
| z4-94  | 5833176 | 1854914 | 163.193 | 163.08 | -0.113 | PAVEMENT            |
| z4-95  | 5833128 | 1855111 | 171.773 | 171.51 | -0.263 | PAVEMENT            |
| z4-96  | 5833182 | 1854813 | 159.413 | 159.15 | -0.263 | PAVEMENT            |
| z4-97  | 5833154 | 1854603 | 148.303 | 148.03 | -0.273 | PAVEMENT            |
| z4-98  | 5833153 | 1855012 | 166.423 | 166.14 | -0.283 | PAVEMENT            |
| z4-99  | 5833109 | 1855212 | 177.653 | 177.37 | -0.283 | PAVEMENT            |
| z4-100 | 5833086 | 1855325 | 184.223 | 183.91 | -0.313 | PAVEMENT            |
| z4-101 | 5833125 | 1854500 | 141.343 | 141.02 | -0.323 | PAVEMENT            |
| z4-102 | 5833171 | 1854711 | 154.263 | 153.93 | -0.333 | PAVEMENT            |
| z4-103 | 5833013 | 1854337 | 125.863 | 125.47 | -0.393 | PAVEMENT            |
| z4-104 | 5833068 | 1854424 | 132.983 | 132.3  | -0.683 | PAVEMENT            |

**Table 9:** Surveyed Checkpoint List for Zone 4

|                  |        |
|------------------|--------|
| Average dz       | -0.032 |
| Root mean square | 0.232  |
| Minimum dz       | -0.595 |
| Maximum dz       | 0.315  |
| Std deviation    | 0.236  |

**Table 10:** Consolidated Vertical Accuracy (CVA) Result for Zone 3

|                  |       |
|------------------|-------|
| Average dz       | 0.205 |
| Root mean square | 0.205 |
| Minimum dz       | 0.205 |
| Maximum dz       | 0.205 |
| Std deviation    | 0.000 |

**Table 11:** Fundamental Vertical Accuracy (FVA) Result for Zone 3

|                  |        |
|------------------|--------|
| Average dz       | -0.044 |
| Root mean square | 0.233  |
| Minimum dz       | -0.595 |
| Maximum dz       | 0.315  |
| Std deviation    | 0.235  |

**Table 12:** Supplemental Vertical Accuracy (SVA) Result for Zone 3

| Number | Easting    | Northing   | Known Z | Laser Z | Dz     | Surface Type |
|--------|------------|------------|---------|---------|--------|--------------|
| z3-1   | 6149355.81 | 1815822.05 | 107.395 | 107.6   | 0.205  | BARE GROUND  |
| z3-2   | 6149232.37 | 1815502.2  | 99.065  | 99.07   | 0.005  | LOW GRASS    |
| z3-3   | 6149755.74 | 1815271.68 | 100.085 | 100.15  | 0.065  | SAWGRASS     |
| z3-4   | 6149832.94 | 1815388.2  | 101.965 | 102.18  | 0.215  | SAWGRASS     |
| z3-5   | 6149675.03 | 1815371.81 | 101.325 | 101.64  | 0.315  | SAWGRASS     |
| z3-6   | 6149634.93 | 1815301.77 | 99.925  | 99.98   | 0.055  | SAWGRASS     |
| z3-7   | 6149528.1  | 1815353.75 | 99.545  | 99.42   | -0.125 | SAWGRASS     |
| z3-8   | 6149550.58 | 1815477.09 | 101.605 | 101.72  | 0.115  | SAWGRASS     |
| z3-9   | 6149577.1  | 1815589.98 | 103.595 | 103.42  | -0.175 | SAWGRASS     |
| z3-10  | 6149485.54 | 1815628.04 | 104.115 | 103.99  | -0.125 | SAWGRASS     |
| z3-11  | 6149432.42 | 1815520.78 | 100.455 | 100.45  | -0.005 | SAWGRASS     |
| z3-12  | 6149383.66 | 1815415.92 | 96.955  | 96.92   | -0.035 | SAWGRASS     |
| z3-13  | 6149304.06 | 1815458.65 | 98.365  | 98.66   | 0.295  | SAWGRASS     |
| z3-14  | 6149433.65 | 1815711.63 | 106.355 | 105.98  | -0.375 | SAWGRASS     |
| z3-15  | 6149323.64 | 1815643.2  | 103.735 | 103.55  | -0.185 | SAWGRASS     |
| z3-16  | 6149248.92 | 1815654.02 | 103.615 | 103.57  | -0.045 | SAWGRASS     |
| z3-17  | 6149317.81 | 1815782.37 | 107.025 | 106.61  | -0.415 | TREES        |
| z3-18  | 6149035.85 | 1815697.8  | 102.825 | 102.94  | 0.115  | TREES        |
| z3-19  | 6149101.19 | 1815814.21 | 105.015 | 105.07  | 0.055  | TREES        |
| z3-20  | 6149172.33 | 1815947.03 | 107.725 | 107.13  | -0.595 | TREES        |

**Table 13:** Surveyed Checkpoint List for Zone 3

Following the accuracy verification, Triangular Irregular Network (TIN) files were generated from bare earth LIDAR points, breaklines and hydro-flattened water features. From this TIN dataset 10 sf grid size DEM were generated in ERDAS .IMG format. A final QC process was undertaken to validate all the deliverables for the project prior to release of data for delivery.

## **Deliverables**

DMI is submitting following deliverables to AMBAG.

### **1-Raw LIDAR**

Raw data in LAS 1.2, point format 1 with 4 returns. All the LAS files have geo-reference information. GPS times are recorded as Adjusted GPS Time. The LAS files have 8-bit intensity values for each point. Each file consists of one flight line. The flight lines which exceed 2 GB in size are split. All the collected points are included.

### **2-Classified Point Data**

Classified point data in LAS 1.2, point format 1. All the LAS files have geo-reference information. GPS times are recorded as Adjusted GPS Time. The LAS files have 8-bit intensity values for each point. Classified point data are delivered as tiles without overlap. The tiling scheme is provided by AMBAG. Each tile is 12000sf by 8000sf.

### **3-Bare Earth Surface (Raster DEM)**

DEM cell size is 10 survey feet. The DEM files are delivered as 32-bit floating point raster format as Erdas .IMG. Geo-reference information is included. The DEM data are tiled without overlap. Areas outside the project boundary but within the tiling scheme are coded as NODATA.

### **4-Breaklines**

Breaklines are provided as a continuous shapefile.

### **5-Hydro flattening water bodies**

All breaklines developed for use in hydro-flattening are delivered as a feature class in shapefile format in PolylineZ format. Water bodies (ponds and lakes), wide streams and rivers ("double-line"), and other non-tidal water bodies are hydro-flattened within the DEM.

### **6-DTM**

The DTM is delivered in ESRI TIN format. TIN data are tiled.

### **7-Metadata**

Project information, flight maps, ground control information and LIDAR data QA/QC report are provided. Product metadata (FGDC compliant, XML format metadata) will be provided separately for Zone 3 and Zone 4.

### **8-Raster DEM in MrSID**

DEM files will be a mosaic, using MrSID compression format. This will be the final delivery after all the DEMs are generated.